

COVER SHEET TO AMENDMENT 82

**INTERNATIONAL STANDARDS,
RECOMMENDED PRACTICES AND
PROCEDURES FOR AIR NAVIGATION SERVICES**

AERONAUTICAL TELECOMMUNICATIONS

**ANNEX 10
TO THE CONVENTION ON INTERNATIONAL CIVIL AVIATION**

**VOLUME II
COMMUNICATION PROCEDURES
including those with PANS status**

SIXTH EDITION OF VOLUME II — JULY 2001

INTERNATIONAL CIVIL AVIATION ORGANIZATION

Checklist of Amendments to Annex 10, Volume II

	<i>Effective date</i>	<i>Date of applicability</i>
Sixth Edition (incorporates Amendments 1 to 76)	16 July 2001	1 November 2001
Amendment 77 (did not affect Volume II)	—	—
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Transmittal note

Amendment 82

to the

International Standards,
Recommended Practices and
Procedures for Air Navigation Services

AERONAUTICAL TELECOMMUNICATIONS

(Annex 10, Volume II, to the Convention on International Civil Aviation)

1. Insert the following replacement pages in Annex 10, Volume II (Sixth Edition) to incorporate Amendment 82 which becomes applicable on 22 November 2007:
 - a) Page (iv) — Table of Contents
 - b) Page (xii) — Foreword
 - c) Pages 4-3, 4-8, 4-11, 4-22 and 4-24 — Chapter 4
 - d) Pages 5-5, 5-6, 5-7, 5-9, 5-10 and 5-15 — Chapter 5
 - e) Pages 8-2, 8-3, 8-5, 8-6, 8-7 — Chapter 8
 2. Please amend by hand the date on the Cover and Flyleaf to read July 2001.
 3. Record the entry of this amendment on page (ii).
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16/7/07

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<i>Amendment</i>	<i>Source(s)</i>	<i>Subject(s)</i>	<i>Adopted Effective Applicable</i>
62	Eighth Meeting of the Automated Data Interchange Systems Panel	Changes and additions to the provisions related to service messages, multiple lines of address and stripped address procedure; changes and additions to the provisions related to channel-check transmissions and the use of controlled circuit protocols; changes and additions to the provisions related to the detection of mutilated messages; addition of provisions related to the transfer of AFTN messages over code and byte independent circuits and networks.	14 December 1981 14 April 1982 25 November 1982
63	Ninth Meeting of the Automated Data Interchange Systems Panel	Changes to the provisions related to message priority and priority indicators.	13 December 1982 13 April 1983 24 November 1983
64	Air Navigation Commission	Introduction of new and revised radiotelephony procedures for use in the Aeronautical Mobile Service.	30 March 1983 29 July 1983 7 June 1984
65	Recommendations of the ANC relating to the method of referencing date/time; COM/MET Divisional Meeting (1982); Third Meeting of the ATS Data Acquisition, Processing and Transfer Panel; 10th Meeting of the Automated Data Interchange Systems Panel	Coordinated universal time (UTC); changes to AFTN message text length, and priorities for movement and control messages; test procedures on AFTN channels; new material on AFTN address stripping in Attachment C.	6 December 1984 6 April 1985 21 November 1985
66	—	No change.	—
67	Eighth Meeting, 104th Session of Council; COM/MET Divisional Meeting (1982); Air Navigation Commission	Changes and editorial rearrangement of AFTN procedures resulting from the new ICAO three-letter designator; changes related to predetermined distribution system for the AFTN; introduction of new procedures concerning transmission of whole hundreds in radiotelephony; introduction of new procedures for use on VHF air-to-air communications channel; editorial rearrangement to present English language radiotelephony phraseology in all language versions of Annex 10, Volume II.	16 March 1987 27 July 1987 22 October 1987
68	Air Navigation Commission	New procedures for the formulation of aircraft radiotelephony call signs; changes to safeguard aircraft against acts of unlawful interference; new procedures related to the maintenance of guard on 121.5 MHz.	29 March 1990 30 July 1990 15 November 1990
69	COM/MET Divisional Meeting (1982); COM/MET/OPS Divisional Meeting (1990)	Changes to AFTN message procedures and addition of material related to the world area forecast system (WAFS) telecommunications requirements; addition of material related to VHF air-ground data link communications and changes to material concerning VHF off-set carrier systems.	22 March 1993 26 July 1993 11 November 1993
70 (5th Edition)	Air Navigation Commission	New phraseology for the transmission of numbers in radiotelephony. A number of changes in the AFTN procedures related to the acceptance and transmission of messages, categories of messages and removal of obsolete material related to radiotelephony.	20 March 1995 24 July 1995 9 November 1995
71	Air Navigation Commission; first meeting of the Aeronautical Telecommunication Network Panel (ATNP)	Changes to aeronautical fixed telecommunications network procedures.	12 March 1996 15 July 1996 7 November 1996
72	Air Navigation Commission; fourth meeting of the Aeronautical Mobile Communications Panel (AMCP)	Modification of R/T procedures concerning the introduction of 8.33 kHz channel spacing; deletion of definition for VDL.	12 March 1997 21 July 1997 6 November 1997

<i>Amendment</i>	<i>Source(s)</i>	<i>Subject(s)</i>	<i>Adopted Effective Applicable</i>
73	Air Navigation Commission; second meeting of the Aeronautical Telecommunication Network Panel (ATNP)	Changes to the composition of meteorological messages sent via the AFTN; introduction of Human Factors related material.	19 March 1998 20 July 1998 5 November 1998
74	Air Navigation Commission	Introduction of inter-pilot air-to-air channel.	18 March 1999 19 July 1999 4 November 1999
75	—	No change.	—
76 (6th Edition)	Third meeting of the Aeronautical Telecommunication Network Panel (ATNP); the Secretariat on the basis of proposals by the Multi-Agency Air Traffic Services Procedures Coordination Group (MAPCOG); fifth meeting of the Automatic Dependent Surveillance Panel (ADSP); seventh meeting of the Aeronautical Mobile Communication Panel (AMCP); Secretariat	Aeronautical fixed service (AFS) procedural provisions for voice and data communications elements; consequential changes resulting from the introduction of a single inter-pilot air-to-air frequency; deletion of references to obsolete radiotelegraphy techniques; radiotelephony speech and standard phraseology; technology in relation to a number of data link applications; update of references to the ITU Radio Regulations.	12 March 2001 16 July 2001 1 November 2001
77	—	No change.	—
78	Air Navigation Commission	Language proficiency requirements.	5 March 2003 14 July 2003 27 November 2003
79	—	No change.	—
80	European Air Navigation Planning Group (EANPG); Aeronautical Communications Panel (ACP)	Changes to the procedures for indication of the transmitting channels in VHF radiotelephony communications.	25 February 2005 11 July 2005 24 November 2005
81	—	No change.	—
82	Aeronautical Communications Panel (ACP); Operational Data Link Panel (OPLINKP); Secretariat	Update of aeronautical fixed telecommunication network (AFTN)/common ICAO data interchange network (CIDIN) provisions, communication procedures related to radiotelephony (R/T) reply procedures and voice communication failure; use of controller-pilot data link communications (CPDLC).	26 February 2007 16 July 2007 22 November 2007

1. Did not affect any Standards or Recommended Practices.

4.4 Aeronautical fixed telecommunication network (AFTN)

4.4.1 General

4.4.1.1 *Categories of messages.* Subject to the provisions of 3.3, the following categories of message shall be handled by the aeronautical fixed telecommunication network:

- a) distress messages;
- b) urgency messages;
- c) flight safety messages;
- d) meteorological messages;
- e) flight regularity messages;
- f) aeronautical information services (AIS) messages;
- g) aeronautical administrative messages;
- h) service messages.

4.4.1.1.1 *Distress messages (priority indicator SS).* This message category shall comprise those messages sent by mobile stations reporting that they are threatened by grave and imminent danger and all other messages relative to the immediate assistance required by the mobile station in distress.

4.4.1.1.2 *Urgency messages (priority indicator DD).* This category shall comprise messages concerning the safety of a ship, aircraft or other vehicles, or of some person on board or within sight.

4.4.1.1.3 *Flight safety messages (priority indicator FF)* shall comprise:

- a) movement and control messages as defined in PANS-ATM (Doc 4444), Chapter 11;
- b) messages originated by an aircraft operating agency of immediate concern to aircraft in flight or preparing to depart;
- c) meteorological messages restricted to SIGMET information, special air-reports, AIRMET messages, volcanic ash and tropical cyclone advisory information and amended forecasts.

4.4.1.1.4 *Meteorological messages (priority indicator GG)* shall comprise:

- a) messages concerning forecasts, e.g. terminal aerodrome forecasts (TAFs), area and route forecasts;
- b) messages concerning observations and reports, e.g. METAR, SPECI.

4.4.1.1.5 *Flight regularity messages (priority indicator GG)* shall comprise:

- a) aircraft load messages required for weight and balance computation;
- b) messages concerning changes in aircraft operating schedules;
- c) messages concerning aircraft servicing;
- d) messages concerning changes in collective requirements for passengers, crew and cargo covered by deviation from normal operating schedules;
- e) messages concerning non-routine landings;
- f) messages concerning pre-flight arrangements for air navigation services and operational servicing for non-scheduled aircraft operations, e.g. overflight clearance requests;
- g) messages originated by aircraft operating agencies reporting an aircraft arrival or departure;
- h) messages concerning parts and materials urgently required for the operation of aircraft.

4.4.1.1.6 *Aeronautical information services (AIS) messages (priority indicator GG)* shall comprise:

- a) messages concerning NOTAMs;
- b) messages concerning SNOWTAMs.

4.4.1.1.7 *Aeronautical administrative messages (priority indicator KK)* shall comprise:

- a) messages regarding the operation or maintenance of facilities provided for the safety or regularity of aircraft operations;
- b) messages concerning the functioning of aeronautical telecommunication services;
- c) messages exchanged between civil aviation authorities relating to aeronautical services.

4.4.1.1.8 *Messages requesting information* shall take the same priority indicator as the category of message being requested except where a higher priority is warranted for flight safety.

4.4.1.1.9 *Service messages (priority indicator as appropriate).* This category shall comprise messages originated by aeronautical fixed stations to obtain information or verification concerning other messages which appear to have been transmitted incorrectly by the aeronautical fixed service, confirming channel-sequence numbers, etc.

4.4.1.1.9.1 Service messages shall be prepared in the format prescribed in 4.4.2 or 4.4.15. In applying the provisions of 4.4.3.1.2 or 4.4.15.2.1.3 to service messages addressed to an aeronautical fixed station identified only by a location indicator, this indicator shall be immediately followed by the ICAO three-letter designator YFY, followed by an appropriate 8th letter.

4.4.1.1.9.2 Service messages shall be assigned the appropriate priority indicator.

4.4.1.1.9.2.1 **Recommendation.**— *When service messages refer to messages previously transmitted, the priority indicator assigned should be that used for the message(s) to which they refer.*

4.4.1.1.9.3 Service messages correcting errors in transmission shall be addressed to all the addressees that will have received the incorrect transmission.

4.4.1.1.9.4 A reply to a service message shall be addressed to the station which originated the initial service message.

4.4.1.1.9.5 **Recommendation.**— *The text of all service messages should be as concise as possible.*

4.4.1.1.9.6 A service message, other than one acknowledging receipt of SS messages, shall be further identified by the use of the abbreviation SVC as the first item in the text.

4.4.1.1.9.7 When a service message refers to a message previously handled, reference to the previous message shall be made by use of the appropriate transmission identification (see 4.4.2.1.1 b) and 4.4.15.1.1 b)) or the filing time and originator indicator groups (see 4.4.4 and 4.4.15.2.2) identifying the reference message.

4.4.1.2 Order of priority

4.4.1.2.1 The order of priority for the transmission of messages in the aeronautical fixed telecommunication network shall be as follows:

<i>Transmission priority</i>	<i>Priority indicator</i>
1	SS
2	DD FF
3	GG KK

4.4.1.2.2 **Recommendation.**— *Messages having the same priority indicator should be transmitted in the order in which they are received for transmission.*

4.4.1.3 Routing of messages

4.4.1.3.1 All communications shall be routed by the most expeditious route available to effect delivery to the addressee.

4.4.1.3.2 Predetermined diversion routing arrangements shall be made, when necessary, to expedite the movement of communication traffic. Each communication centre shall have the appropriate diversion routing lists, agreed to by the Administration(s) operating the communication centres affected and shall use them when necessary.

4.4.1.3.2.1 **Recommendation.**— *Diversion routing should be initiated:*

1) *in a fully automatic communication centre:*

a) *immediately after detection of the circuit outage, when the traffic is to be diverted via a fully automatic communication centre;*

b) *within a 10-minute period after detection of the circuit outage, when the traffic is to be diverted via a non-fully automatic communication centre;*

2) *in a non-fully automatic communication centre within a 10-minute period after detection of the circuit outage.*

Service message notification of the diversion requirement should be provided where no bilateral or multilateral prearranged agreements exist.

4.4.1.3.3 As soon as it is apparent that it will be impossible to dispose of traffic over the aeronautical fixed service within a reasonable period, and when the traffic is held at the station where it was filed, the originator shall be consulted regarding further action to be taken, unless:

a) otherwise agreed between the station concerned and the originator; or

b) arrangements exist whereby delayed traffic is automatically diverted to commercial telecommunication services without reference to the originator.

Note.— The expression “reasonable period” means a period of time such that it seems probable that the traffic will not be delivered to the addressee within any fixed transit period applicable to the category of traffic concerned, or, alternatively, any predetermined period agreed between originators and the telecommunication station concerned.

4.4.1.4 Supervision of message traffic

4.4.1.4.1 *Continuity of message traffic.* The receiving station shall check the transmission identification of incoming transmissions to ensure the correct sequence of channel-sequence numbers of all messages received over that channel.

4.4.1.7.2 In cases where acknowledgement is made between AFTN communication centres, a relay centre shall be considered as having no further responsibility for retransmission or repetition of a message for which it has received positive acknowledgement, and it may be deleted from its records.

Note.— Provisions relating to long-term retention of AFTN traffic records in AFTN communication centres are contained in 4.4.1.6.

4.4.1.8 Test procedures on AFTN channels

4.4.1.8.1 **Recommendation.**— *Test messages transmitted on AFTN channels for the purpose of testing and repairing lines should consist of the following:*

- 1) the start-of-message signal;
- 2) the procedure signal QJH;
- 3) the originator indicator;
- 4) three page-copy lines of the sequence of characters RY in ITA-2 or U(5/5) *(2/10) in IA-5; and
- 5) the end-of-message signal.

4.4.2 Message format — International Telegraph Alphabet No. 2 (ITA-2)

All messages, other than those prescribed in 4.4.1.8 and 4.4.9.3, shall comprise the components specified in 4.4.2.1 to 4.4.6.1 inclusive.

Note 1.— An illustration of the ITA-2 message format is given in Figure 4-1.

Note 2.— In the subsequent Standards relative to message format the following symbols have been used in making reference to the functions assigned to certain signals in the International Telegraph Alphabet No. 2 (see Volume III, Part I, 8.2.1 and Table 8-1):

Symbol	Signification
<	CARRIAGE RETURN (signal no. 27)
≡	LINE FEED (signal no. 28)
↓	LETTER SHIFT (signal no. 29)
↑	FIGURE SHIFT (signal no. 30)
→	SPACE (signal no. 31)

4.4.2.1 Heading

4.4.2.1.1 The heading shall comprise:

- a) start-of-message signal, the characters ZCZC;
- b) transmission identification comprising:
 - 1) circuit identification;
 - 2) channel-sequence number.
- c) additional service information (if necessary) comprising:
 - 1) one SPACE;
 - 2) no more than ten characters.
- d) spacing signal.

4.4.2.1.1.1 The circuit identification shall consist of three letters selected and assigned by the transmitting station; the first letter identifying the transmitting, the second letter the receiving end of the circuit and the third letter to identify the channel; where there is only one channel between the transmitting and receiving stations, channel letter A shall be assigned; where more than one channel between stations is provided, the channels shall be identified as A, B, C, etc. in respective order.

4.4.2.1.1.2 Three-digit channel-sequence numbers from 001 to 000 (representing 1 000) shall be assigned sequentially by telecommunication stations to all messages transmitted directly from one station to another. A separate series of these numbers shall be assigned for each channel and a new series shall be started daily at 0000 hours.

4.4.2.1.1.2.1 **Recommendation.**— *The use of the 4-digit channel-sequence number, to preclude duplication of the same numbers during the 24-hour period, is permitted subject to agreement between the authorities responsible for the operation of the circuit.*

4.4.2.1.1.3 The transmission identification shall be sent over the circuit in the following sequence:

- a) SPACE [→];
- b) transmitting-terminal letter;
- c) receiving-terminal letter;
- d) channel-identification letter;
- e) FIGURE SHIFT [↑];
- f) channel-sequence number (3 digits).

Message part	Component of the message part	Element of the component	Teletypewriter signal
HEADING (see 4.4.2.1)	Start-of-Message Signal	—	ZCZC
	Transmission Identification	{ <ul style="list-style-type: none"> a) One SPACE b) Transmitting-terminal letter c) Receiving-terminal letter d) Channel-identification letter e) One FIGURE SHIFT f) Channel-sequence number (3 digits) } (Example: NRA062)	→ ...↑...
	(If necessary) Additional Service Indication	{ <ul style="list-style-type: none"> a) One SPACE b) No more than 10 characters } (Example: 270930)	
	Spacing Signal	{ <ul style="list-style-type: none"> Five SPACES One LETTER SHIFT }	→→→→→↓
ADDRESS (see 4.4.3)	Alignment Function	One CARRIAGE RETURN, one LINE FEED	<≡
	Priority Indicator	The relevant 2-letter group	..
ORIGIN (see 4.4.4)	Addressee Indicator(s)	One SPACE } given in sequence An 8-letter group } for each addressee (Example: →EGLLRZX→EDLLYKYX→EGLLACAM)	
	Alignment Function(s)	One CARRIAGE RETURN, one LINE FEED	<≡
	Filing Time	One FIGURE SHIFT The 6-digit date-time group specifying when the message was filed for transmission One LETTER SHIFT	↑ ↓
	Originator Indicator	One SPACE The 8-letter group identifying the message originator	→
	Priority Alarm (used only in teletypewriter operation for Distress Messages)	One FIGURE SHIFT Five Signal No. 10 of Telegraph Alphabet No. 2 One LETTER SHIFT	↑ Attention ↓ Signal(s)
	Optional Heading Information	a) One SPACE b) Additional data not to exceed the remainder of the line. See 4.4.4.4.	
TEXT (see 4.4.5)	Alignment Function	One CARRIAGE RETURN, one LINE FEED	<≡
	Beginning of the Text	Specific identification of Addressee(s) (if necessary) with each followed by one CARRIAGE RETURN, one LINE FEED (if necessary) The English word FROM (if necessary) (see 4.4.5.2.3) Specific identification of Originator (if necessary) The English word STOP followed by one CARRIAGE RETURN, one LINE FEED (if necessary) (see 4.4.5.2.3); and/or Originator's reference (if used)	
	Message Text	Message Text with one CARRIAGE RETURN, one LINE FEED at the end of each printed line of the Text except for the last one (see 4.4.5.3)	
	Confirmation (if necessary)	a) One CARRIAGE RETURN, one LINE FEED b) The abbreviation CFM followed by the portion of the Text being confirmed	
	Correction (if necessary)	a) One CARRIAGE RETURN, one LINE FEED b) The abbreviation COR followed by the correction of an error made in the preceding Text	
	End-of-Text Signal	a) One LETTER SHIFT b) One CARRIAGE RETURN, one LINE FEED	↓<≡
	Page-Feed Sequence	Seven LINE FEEDS	=====
ENDING (see 4.4.6)	End-of-Message Signal	Four of the letter case of N (Signal No. 14)	NNNN
	Message-Separation Signal (used only on message traffic transmitted to a "torn-tape" station)	Twelve LETTER SHIFTS	↓↓↓↓↓↓↓↓↓↓↓↓↓

Tape Feed (see 4.4.7)

Additional LETTER SHIFTS will appear at this point in instances where prior arrangements have been made for tape-feed transmissions to be employed on an incoming circuit (see 4.4.7).

Legend: ↑ FIGURE SHIFT (Signal No. 30) ≡ LINE FEED (Signal No. 28) ↓ LETTER SHIFT (Signal No. 29)
 → SPACE (Signal No. 31) < CARRIAGE RETURN (Signal No. 27)

Figure 4-1. Message format ITA-2

(the above illustrates the teletypewriter message format prescribed in 4.4.2 to 4.4.9.1 inclusive)

transferring the message to the AFTN, followed immediately by the ICAO three-letter designator ZZZZ followed by the filler letter X. The identification of the aircraft shall then be included in the first item in the text of the message.

4.4.4.2.3 Messages relayed over the AFTN that have been originated in other networks shall use a valid AFTN originator indicator that has been agreed for use by the relay or gateway function linking the AFTN with the external network.

Note.— The following illustrates the application of 4.4.4.2.2 procedure as it would appear with a message from aircraft KLM153 addressed to the Area Control Centre at CZEG, the message being handled via aeronautical station CYCB. The heading and ending of the message are not shown in this example of teletypewriter page-copy form:

(Address)	FF CZEGZRZX
(Origin)	031821 CYCBZZZX
(Text)	KLM153 [remainder of text as received from aircraft]

4.4.4.3 The priority alarm shall be used only for distress messages. When used, it shall consist of the following, in the order stated:

- a) FIGURE SHIFT [↑];
- b) FIVE transmissions of signal no. 10 (figure case);
- c) LETTER SHIFT [↓].

Note 1.— The figure case of signal no. 10 of the International Telegraph Alphabet No. 2 generally corresponds to the figure case of J of teletypewriter equipment in use on aeronautical fixed service circuits.

Note 2.— Use of the priority alarm will actuate a bell (attention) signal at the receiving teletypewriter station, other than at those fully automatic stations which may provide a similar alarm on receipt of priority indicator SS, thereby alerting supervisory personnel at relay centres and operators at tributary stations, so that immediate attention may be given to the message.

4.4.4.4 The inclusion of optional data in the origin line shall be permitted provided a total of 69 characters is not exceeded and subject to agreement between the authorities concerned. The presence of the optional data field shall be indicated by one occurrence of the SPACE character immediately preceding optional data.

4.4.4.4.1 **Recommendation.**— *When additional addressing information in a message needs to be exchanged between source and destination addresses, it should be conveyed in the optional data field (ODF), using the following specific format:*

- a) characters one and full stop (1.) to indicate the parameter code for the additional address function;

- b) three modifier characters, followed by an equal sign [=] and the assigned 8-character ICAO address; and

- c) the character hyphen (-) to terminate the additional address parameter field.

4.4.4.4.1.1 **Recommendation.**— *When a separate address for service messages or inquiries is different from the originator indicator, the modifier SVC should be used.*

4.4.4.5 The origin line shall be concluded by an alignment function [<=].

4.4.5 Text

4.4.5.1 The text of messages shall be drafted in accordance with 4.1.2.

4.4.5.2 When an originator's reference is used, it shall appear at the beginning of the text, except as provided in 4.4.5.2.1 and 4.4.5.2.2.

4.4.5.2.1 When the ICAO three-letter designators YXY, YYY or ZZZ comprise the second element of the addressee indicator (see 4.4.3.1.2.1 and 4.4.3.1.2.2) and it, therefore, becomes necessary to identify in the text the specific addressee of the message, such identification group will precede the originator's reference (if used) and become the first item of the text.

4.4.5.2.2 When the ICAO three-letter designators YXY, YYY or ZZZ comprise the second element of the originator indicator (see 4.4.4.2.1 and 4.4.4.2.2) and it thus becomes necessary to identify in the text the name of the organization (or military service), or the aircraft, which originated the message, such identification shall be inserted in the first item of the text of the message.

4.4.5.2.3 When applying the provisions of 4.4.5.2.1 and 4.4.5.2.2 to messages where the ICAO three-letter designator(s) YXY, YYY or ZZZ is (are) used to refer to two or more different organizations (or military services), the sequence of further identification in the text shall correspond to the complete sequence used in the address and origin of the message. In such instance, each addressee identification shall be followed immediately by an alignment function. The name of the (YXY, YYY or ZZZ) organization originating the message shall then be preceded with "FROM". "STOP" followed by an alignment function shall then be included in the text at the end of these identifications to precede the remainder of the text wording.

4.4.5.3 An alignment function [<=] shall be transmitted at the end of each printed line of the text except for the last (see 4.4.5.6).

4.4.5.4 When it is desired to confirm a portion of the text of a message in teletypewriter operation, such confirmation shall be separated from the last text group by an alignment function [\leq], and shall be indicated by the abbreviation CFM followed by the portion being confirmed.

4.4.5.5 When it is discovered that an error has been made in the text, the correction shall be separated from the last text group or confirmation, if any, by an alignment function [\leq] in the case of teletypewriter circuits. This shall be followed by the abbreviation COR and the correction.

4.4.5.5.1 Stations shall make all indicated corrections on the page-copy prior to local delivery.

4.4.5.6 At the end of the text the following end-of-text signal shall be transmitted:

1 LETTER SHIFT [\downarrow], alignment function [\leq].

4.4.5.7 The text of the messages entered by the AFTN origin station shall not exceed 1 800 characters in length.

Note 1.— Where it is desired that a communication with a text exceeding 1 800 characters be transmitted over the aeronautical fixed telecommunication network, 4.4.5.7 requires that such a communication be entered by the AFTN origin station in the form of separate messages, each text of which does not exceed 1 800 characters. Guidance material for forming separate messages from a single long message is given in Attachment B to Volume II.

Note 2.— The character count includes all printing and non-printing characters in the message from, but not including, the alignment function preceding the beginning of the text to, but not including, the end-of-text signal.

4.4.6 Ending

4.4.6.1 The ending shall comprise:

- a) the page-feed sequence consisting of 7 LINE FEEDS [\equiv];

Note.— This, together with the 1 LINE FEED of the preceding alignment function, will provide sufficient separation between messages when appearing in page-copy form.

- b) the end-of-message signal, consisting of the letter N (letter case of signal no. 14), appearing FOUR times in undivided sequence.

Note.— This component, transmitted intact from the moment of the first transmission of the message until ultimate delivery, is required so that connections set up for cross-office transmission, at a semi-automatic or fully automatic relay installation, can be cleared for following message traffic.

And in addition, on message traffic transmitted to “torn-tape” relay stations only:

- c) the message-separation signal, consisting of a LETTER SHIFT [\downarrow] transmitted 12 times in uninterrupted sequence.

Note 1.— Nothing but letter shifts are to be transmitted in message traffic between the end-of-message signal of one message and the start-of-message signal of the next.

Note 2.— The following illustrates the procedures specified in 4.4.2 to 4.4.6.1 inclusive for a message in page-copy form:

(Heading)	*ZCZC LPA183
(Address)	GG LGGGZRZX LGATKLMW
(Origin)	201838 ELLKLMW
(Text)	As required
(Ending)	(Page feed)
	NNNN**

**Note 2A.— If this message had been one of a series and there had been no manual paper-feed action between messages by the operator attending the receiving page teletypewriter, the “NNNN” of the preceding message would have appeared here.*

***Note 2B.— In the circumstances described in Note 2A, the heading of the next message received would be printed on page-copy at this position.*

Note 2C.— In actual station practice, messages would be separated on page-copy by tearing through the page-feed sequence. The end-of-message signal would then appear to have become a component part of the next message. This apparent misplacement is, however, unlikely to give rise to any misunderstanding on the part of communicators or addressees since, in practice, the end-of-message signal has no significance on page-copy.

4.4.6.2 AFTN messages entered by the AFTN origin station shall not exceed 2 100 characters in length.

Note.— The character count includes all printing and non-printing characters in the message from and including the start-of-message signal (ZCZC) to and including the end-of-message signal (NNNN).

4.4.7 Tape feed

4.4.7.1 **Recommendation.**— In “torn-tape” installations, and in “semi-automatic” installations using continuous tape technique, when signals additional to those prescribed in 4.4.6.1 are required to ensure that the tape is

4.4.15 Message format — International Alphabet No. 5 (IA-5)

When it has been agreed between the Administrations concerned to use International Alphabet No. 5 (IA-5) the format described in 4.4.15 through 4.4.15.3 shall be used. It shall be the responsibility of Administrations using IA-5 to accommodate adjacent AFTN stations employing ITA-2 code in the format described in 4.4.2.

All messages, other than those prescribed in 4.4.1.8 and 4.4.9.3 shall comprise the components specified in 4.4.15.1 to 4.4.15.6 inclusive.

Note 1.— An illustration of the IA-5 message format is given in Figure 4-4.

Note 2.— In the subsequent standards relative to message format the following symbols have been used in making reference to the functions assigned to certain signals in IA-5. (See Volume III, Part I, 8.6.1 and Tables 8-2 and 8-3.)

Symbol	Signification
<	CARRIAGE RETURN (character position 0/13)
≡	LINE FEED (character position 0/10)
→	SPACE (character position 2/0).

4.4.15.1 Heading

4.4.15.1.1 The heading shall comprise:

- a) start-of-heading (SOH) character 0/1;
- b) transmission identification comprising:
 - 1) circuit or link identification;
 - 2) channel-sequence number;
- c) additional service information (if necessary) comprising:
 - 1) one SPACE;
 - 2) no more than 10 characters.

4.4.15.1.1.1 On point-to-point circuits or links, the identification shall consist of three letters selected and assigned by the transmitting station; the first letter identifying the transmitting, the second letter the receiving end of the circuit, and the third letter the channel. Where only one channel exists, the letter A shall be assigned. Where more than one channel between stations is provided, the channels shall be identified

as A, B, C, etc., in respective order. On multipoint channels, the identification shall consist of three letters selected and assigned by the circuit control or master station.

4.4.15.1.1.2 Except as provided in 4.4.15.1.1.3 three-digit channel-sequence numbers from 001 to 000 (representing 1 000) shall be assigned sequentially by telecommunication stations to all messages transmitted directly from one station to another. A separate series of these numbers shall be assigned for each channel and a new series shall be started daily at 0000 hours.

4.4.15.1.1.3 **Recommendation.**— *The expansion of the channel-sequence number to preclude duplication of the same numbers during the 24-hour period should be permitted subject to agreement between the Authorities responsible for the operation of the circuit.*

4.4.15.1.1.4 The transmission identification shall be sent over the circuit in the following sequence:

- a) transmitting-terminal letter;
- b) receiving-terminal letter;
- c) channel-identification letter;
- d) channel-sequence number.

4.4.15.1.1.5 Additional service information shall be permitted to be inserted following the transmission identification subject to agreement between the Authorities responsible for the operation of the circuit. Such additional service information shall be preceded by a SPACE (→) followed by not more than 10 characters inserted into the heading of message immediately following the last digit of the channel-sequence number and shall not contain any alignment functions. When no such additional service information is added the information in 4.4.15.1.1.4 shall be followed immediately by that of 4.4.15.2.

4.4.15.2 Address

4.4.15.2.1 The address shall comprise:

- a) alignment function [\leq];
- b) priority indicator;
- c) addressee indicator(s);
- d) alignment function [\leq].

4.4.15.2.1.1 The priority indicator shall consist of the appropriate two-letter group assigned by the originator in accordance with the following:

Message part		Component of the message part	Elements of the component	Teletypewriter character
T H E H E A D I N G	HEADING LINE (see 4.4.15.1.1)	Start-of-Heading Character	One Character (0/1)	SOH
		Transmission Identification	a) Transmitting-terminal letter b) Receiving-terminal letter c) Channel-identification letter d) Channel-sequence number (Example: NRA062)
		(If necessary) Additional Service Indication	a) One SPACE b) No more than the remainder of the line (Example: 270930)	→
	ADDRESS (see 4.4.15.2.1)	Alignment Function	One CARRIAGE RETURN, one LINE FEED	<≡
		Priority Indicator	The relevant 2-letter group	..
		Addressee Indicator(s)	One SPACE An 8-letter group (Example: EGGLEZRZX→EGLLYKYX→EGLLACAD)	given in sequence for each addressee
	ORIGIN (see 4.4.15.2.2)	Alignment Function(s)	One CARRIAGE RETURN, one LINE FEED	<≡
		Filing Time	6-digit date-time group specifying when the message was filed for transmission
		Originator Indicator	a) One SPACE b) 8-letter group identifying the message originator	→.....
		Priority Alarm (used only in teletypewriter operation for Distress Messages)	Five characters (0/7)(BEL)	
		Optional Heading Information	a) One SPACE b) Additional data not to exceed the remainder of the line. See 4.4.15.2.2.6.	
		Alignment Function	One CARRIAGE RETURN, one LINE FEED	<≡
	TEXT (see 4.4.15.3)	Start-of-Text Character	One character (0/2)	STX
Beginning of the Text		Specific identification of Addressee(s) (if necessary) with each followed by one CARRIAGE RETURN, one LINE FEED (if necessary) The English word FROM (if necessary)(see 4.4.15.3.5) Specific identification of Originator (if necessary) The English word STOP followed by one CARRIAGE RETURN, one LINE FEED (if necessary) (see 4.4.15.3.5) and/or Originator's reference (if used)		
Message Text		Message Text with one CARRIAGE RETURN, one LINE FEED at the end of each printed line of the Text except for the last one (see 4.4.15.3.6)		
Confirmation (if necessary)		a) One CARRIAGE RETURN, one LINE FEED b) The abbreviation CFM followed by the portion of the Text being confirmed.		
Correction (if necessary)		a) One CARRIAGE RETURN, one LINE FEED b) The abbreviation COR followed by the correction of an error made in the preceding Text		
ENDING (see 4.4.15.3.12.1)	Alignment Function	One CARRIAGE RETURN, one LINE FEED	<≡	
	Page-feed Sequence	One character (0/11)	VT	
	End-of-Text character	One character (0/3)	ETX	

Figure 4-4. Message format International Alphabet No. 5 (IA-5)
 (the above illustrates the teletypewriter message format described in 4.4.15)

<i>Priority indicator</i>	<i>Message category</i>
SS	distress messages
DD	urgency messages (<i>see</i> 4.4.1.1.2)
FF	flight safety messages (<i>see</i> 4.4.1.1.3)
GG	meteorological messages (<i>see</i> 4.4.1.1.4)
GG	flight regularity messages (<i>see</i> 4.4.1.1.5)
GG	aeronautical information services messages (<i>see</i> 4.4.1.1.6)
KK	aeronautical administrative messages (<i>see</i> 4.4.1.1.7)
as appropriate	service messages (<i>see</i> 4.4.1.1.9)

4.4.15.2.1.2 The order of priority shall be the same as specified in 4.4.1.2.

4.4.15.2.1.3 An addressee indicator, which shall be immediately preceded by a SPACE, except when it is the first address indicator of the second or third line of addresses, shall comprise:

- a) the four-letter location indicator of the place of destination;
- b) the three-letter designator identifying the organization/function (aeronautical authority, service or aircraft operating agency) addressed;
- c) an additional letter, which shall represent a department, division or process within the organization/function addressed. The letter X shall be used to complete the address when explicit identification is not required.

4.4.15.2.1.3.1 Where a message is to be addressed to an organization that has not been allocated an ICAO three-letter designator of the type prescribed in 4.4.15.2.1.3 the location indicator of the place of destination shall be followed by the ICAO three-letter designator YYY (or the ICAO three-letter designator YXY in the case of a military service or organization). The name of the addressee organization shall then be included in the first item in the text of the message. The eighth position letter following the ICAO three-letter designator YYY or YXY shall be the filler letter X.

4.4.15.2.1.3.2 Where a message is to be addressed to an aircraft in flight and, therefore, requires handling over the AFTN for part of its routing before retransmission over the Aeronautical Mobile Service, the location indicator of the aeronautical station which is to relay the message to the aircraft shall be followed by the ICAO three-letter designator ZZZ. The identification of the aircraft shall then be included in the first item of the text of the message. The eighth position letter following the ICAO three-letter designator ZZZ shall be the filler letter X.

4.4.15.2.1.4 The complete address shall be restricted to three lines of page-printing copy, and, except as provided in 4.4.16, a separate addressee indicator shall be used for each addressee whether at the same or different locations.

4.4.15.2.1.5 The completion of the addressee indicator group(s) in the address of a message shall be immediately followed by the alignment function.

4.4.15.2.1.6 Where messages are offered in page-copy form for transmission and contain more addressee indicators than can be accommodated on three lines of a page copy, such messages shall be converted, before transmission, into two or more messages, each of which shall conform with the provisions of 4.4.15.2.1.5. During such conversion, the addressee indicators shall, in so far as practicable, be positioned in the sequence which will ensure that the minimum number of retransmissions will be required at subsequent communication centres.

4.4.15.2.2 *Origin*

The origin shall comprise:

- a) filing time;
- b) originator indicator;
- c) priority alarm (when necessary);
- d) optional heading information;
- e) alignment function [\leq];
- f) start-of-text character, character 0/2 (STX).

4.4.15.2.2.1 The filing time shall comprise the 6-digit date-time group indicating the date and time of filing the message for transmission (*see* 3.4.2).

4.4.15.2.2.2 The originator indicator, which shall be immediately preceded by a SPACE, shall comprise:

- a) the four-letter location indicator of the place at which the message is originated;
- b) the three-letter designator identifying the organization/function (aeronautical authority, service or aircraft operating agency) which originated the message;
- c) an additional letter which shall represent a department, division or process within the organization/function of the originator. The letter X shall be used to complete the address when explicit identification is not required.

4.4.15.2.2.3 Where a message is originated by an organization that has not been allocated an ICAO three-letter designator of the type prescribed in 4.4.15.2.2.2, the location indicator of the place at which the message is originated shall be followed immediately by the ICAO three-letter designator YYY followed by the filler letter X (or the ICAO three-letter designator YXY followed by the filler letter X in the case of

a military service or organization). The name of the organization (or military service) shall then be included in the first item in the text of the message.

4.4.15.2.2.3.1 Messages relayed over the AFTN that have been originated in other networks shall use a valid AFTN originator indicator that has been agreed for use by the relay or gateway function linking the AFTN with the external network.

4.4.15.2.2.4 Where a message originated by an aircraft in flight requires handling on the AFTN for part of its routing before delivery, the originator indicator shall comprise the location indicator of the aeronautical station responsible for transferring the message to the AFTN, followed immediately by the ICAO three-letter designator ZZZ followed by the filler letter X. The identification of the aircraft shall then be included in the first item in the text of the message.

4.4.15.2.2.5 The priority alarm shall be used only for distress messages. When used it shall consist of five successive BEL (0/7) characters.

Note.— Use of the priority alarm will actuate a bell (attention) signal at the receiving teletypewriter station, other than at those fully automatic stations which may provide a similar alarm on receipt of priority indicator SS, thereby alerting supervisory personnel at relay centres and operators at tributary stations, so that immediate attention may be given to the message.

4.4.15.2.2.6 The inclusion of optional data in the origin line shall be permitted provided a total of 69 characters is not exceeded and subject to agreement between the Administrations concerned. The presence of the optional data field shall be indicated by one occurrence of the SPACE character immediately preceding optional data.

4.4.15.2.2.6.1 **Recommendation.**— *When additional addressing information in a message needs to be exchanged between source and destination addresses, it should be conveyed in the optional data field (ODF), using the following specific format:*

- a) characters one and full stop (1.) to indicate the parameter code for the additional address function;*
- b) three modifier characters, followed by an equal sign (=) and the assigned 8-character ICAO address; and*
- c) the character hyphen (-) to terminate the additional address parameter field.*

4.4.15.2.2.6.1.1 **Recommendation.**— *When a separate address for service messages or inquiries is different from the originator indicator, the modifier SVC should be used.*

4.4.15.2.2.7 The origin line shall be concluded by an alignment function [\leq] and the start-of-text (STX) (0/2) character.

4.4.15.3 Text

4.4.15.3.1 The text of messages shall be drafted in accordance with 4.1.2 and shall consist of all data between STX and ETX.

Note.— When message texts do not require conversion to the ITA-2 code and format and do not conflict with ICAO message types or formats in PANS-ATM (Doc 4444), Administrations may make full use of the characters available in International Alphabet No. 5 (IA-5).

4.4.15.3.2 When an originator's reference is used, it shall appear at the beginning of the text, except as provided in 4.4.15.3.3 and 4.4.15.3.4.

4.4.15.3.3 When the ICAO three-letter designators YXY, YYY or ZZZ comprise the second element of the addressee indicator (see 4.4.15.2.1.3.1 and 4.4.15.2.1.3.2) and it, therefore, becomes necessary to identify in the text the specific addressee of the message, such identification group shall precede the originator's reference (if used) and become the first item of the text.

4.4.15.3.4 When the ICAO three-letter designators YXY, YYY or ZZZ comprise the second element of the originator indicator (see 4.4.15.2.2.3 and 4.4.15.2.2.4) and it thus becomes necessary to identify in the text the name of the organization (or military service) or the aircraft which originated the message, such identification shall be inserted in the first item of the text of the message.

4.4.15.3.5 When applying the provisions of 4.4.15.3.3 and 4.4.15.3.4 to messages where the ICAO three-letter designator(s) YXY, YYY, ZZZ refer to two or more different organizations (or military services), the sequence of further identification in the text shall correspond to the complete sequence used in the address and originator indicator of the message. In such instance, each addressee identification shall be followed immediately by an alignment function. The name of the (YXY, YYY or ZZZ) organization originating the message shall then be preceded with "FROM". "STOP" followed by an alignment function shall then be included in the text at the end of this identification and preceding the remainder of text.

4.4.15.3.6 An alignment function shall be transmitted at the end of each printed line of the text. When it is desired to confirm a portion of the text of a message in teletypewriter operation, such confirmation shall be separated from the last text group by an alignment function [\leq], and shall be indicated by the abbreviation CFM followed by the portion being confirmed.

<i>altitude</i>	<i>transmitted as</i>
800	eight hundred
3 400	three thousand four hundred
12 000	one two thousand

<i>cloud height</i>	<i>transmitted as</i>
2 200	two thousand two hundred
4 300	four thousand three hundred

<i>visibility</i>	<i>transmitted as</i>
1 000	visibility one thousand
700	visibility seven hundred

<i>runway visual range</i>	<i>transmitted as</i>
600	RVR six hundred
1 700	RVR one thousand seven hundred

5.2.1.4.1.3 Numbers containing a decimal point shall be transmitted as prescribed in 5.2.1.4.1.1 with the decimal point in appropriate sequence being indicated by the word DECIMAL.

Note 1.— The following examples illustrate the application of this procedure:

<i>Number</i>	<i>Transmitted as</i>
100.3	ONE ZERO ZERO DECIMAL THREE
38 143.9	THREE EIGHT ONE FOUR THREE DECIMAL NINE

Note 2.— For identification of VHF frequencies the number of digits used after the decimal point are determined on the basis of the channel spacing (5.2.1.7.3.4.3 refers to frequencies separated by 25 kHz, 5.2.1.7.3.4.4 refers to frequencies separated by 8.33 kHz).

Note 3.— The channelling/frequency pairing relationship for 8.33 kHz and 25 kHz is found in Table 4-1 (bis), Volume V.

5.2.1.4.1.4 **PANS.**— When transmitting time, only the minutes of the hour should normally be required. Each digit should be pronounced separately. However, the hour should be included when any possibility of confusion is likely to result.

Note.— The following example illustrates the application of this procedure when applying the provisions of 5.2.1.2.2:

<i>Time</i>	<i>Statement</i>
0920 (9:20 A.M.)	TOO ZE-RO or ZE-RO NIN-er TOO ZE-RO
1643 (4:43 P.M.)	FOW-er TREE or WUN SIX FOW-er TREE

5.2.1.4.2 Verification of numbers

5.2.1.4.2.1 When it is desired to verify the accurate reception of numbers the person transmitting the message shall request the person receiving the message to read back the numbers.

5.2.1.4.3 Pronunciation of numbers

5.2.1.4.3.1 When the language used for communication is English, numbers shall be transmitted using the following pronunciation:

<i>Numeral or numeral element</i>	<i>Pronunciation</i>
0	ZE-RO
1	WUN
2	TOO
3	TREE
4	FOW-er
5	FIFE
6	SIX
7	SEV-en
8	AIT
9	NIN-er
Decimal	DAY-SEE-MAL
Hundred	HUN-dred
Thousand	TOU-SAND

Note.— The syllables printed in capital letters in the above list are to be stressed; for example, the two syllables in ZE-RO are given equal emphasis, whereas the first syllable of FOW-er is given primary emphasis.

5.2.1.5 Transmitting technique

5.2.1.5.1 **PANS.**— Each written message should be read prior to commencement of transmission in order to eliminate unnecessary delays in communications.

5.2.1.5.2 Transmissions shall be conducted concisely in a normal conversational tone.

Note.— See the language proficiency requirements in the Appendix to Annex 1.

5.2.1.5.3 **PANS.**— Speech transmitting technique should be such that the highest possible intelligibility is incorporated in each transmission. Fulfilment of this aim requires that air crew and ground personnel should:

a) enunciate each word clearly and distinctly;	APPROVED	“Permission for proposed action granted.”
b) maintain an even rate of speech not exceeding 100 words per minute. When a message is transmitted to an aircraft and its contents need to be recorded the speaking rate should be at a slower rate to allow for the writing process. A slight pause preceding and following numerals makes them easier to understand;	BREAK	“I hereby indicate the separation between portions of the message.” (To be used where there is no clear distinction between the text and other portions of the message.)
c) maintain the speaking volume at a constant level;	BREAK BREAK	“I hereby indicate the separation between messages transmitted to different aircraft in a very busy environment.”
d) be familiar with the microphone operating techniques particularly in relation to the maintenance of a constant distance from the microphone if a modulator with a constant level is not used;	CANCEL	“Annul the previously transmitted clearance.”
e) suspend speech temporarily if it becomes necessary to turn the head away from the microphone.	CHECK	“Examine a system or procedure.” (Not to be used in any other context. No answer is normally expected.)
5.2.1.5.4 Recommendation. — Speech transmitting technique should be adapted to the prevailing communications conditions.	CLEARED	“Authorized to proceed under the conditions specified.”
5.2.1.5.5 PANS. — Messages accepted for transmission should be transmitted in plain language or ICAO phraseologies without altering the sense of the message in any way. Approved ICAO abbreviations contained in the text of the message to be transmitted to aircraft should normally be converted into the unabbreviated words or phrases which these abbreviations represent in the language used, except for those which, owing to frequent and common practice, are generally understood by aeronautical personnel.	CONFIRM	“I request verification of: (clearance, instruction, action, information).”
	CONTACT	“Establish communications with...”
	CORRECT	“True” or “Accurate”.
	CORRECTION	“An error has been made in this transmission (or message indicated). The correct version is...”
Note.— The abbreviations which constitute the exceptions mentioned in 5.2.1.5.5 are specifically identified in the abbreviation encode sections of the PANS-ABC (Doc 8400).	DISREGARD	“Ignore.”
5.2.1.5.6 PANS. — To expedite communication, the use of phonetic spelling should be dispensed with, if there is no risk of this affecting correct reception and intelligibility of the message.	HOW DO YOU READ	“What is the readability of my transmission?” (see 5.2.1.8.4.)
	I SAY AGAIN	“I repeat for clarity or emphasis.”
5.2.1.5.7 PANS. — The transmission of long messages should be interrupted momentarily from time to time to permit the transmitting operator to confirm that the frequency in use is clear and, if necessary, to permit the receiving operator to request repetition of parts not received.	MAINTAIN	“Continue in accordance with the condition(s) specified” or in its literal sense, e.g. “Maintain VFR”.
	MONITOR	“Listen out on (frequency).”
	NEGATIVE	“No” or “Permission not granted” or “That is not correct” or “Not capable”.
5.2.1.5.8 The following words and phrases shall be used in radiotelephony communications as appropriate and shall have the meaning ascribed hereunder:	OVER	“My transmission is ended, and I expect a response from you.”

Note.— Not normally used in VHF communications.

Phrase	Meaning
ACKNOWLEDGE	“Let me know that you have received and understood this message.”
AFFIRM	“Yes.”

OUT	“This exchange of transmissions is ended and no response is expected.”
-----	--

Note.— Not normally used in VHF communications.

READ BACK “Repeat all, or the specified part, of this message back to me exactly as received.”

RECLEARED “A change has been made to your last clearance and this new clearance supersedes your previous clearance or part thereof.”

REPORT “Pass me the following information...”

REQUEST “I should like to know...” or “I wish to obtain...”

ROGER “I have received all of your last transmission.”

Note.— Under no circumstances to be used in reply to a question requiring “READ BACK” or a direct answer in the affirmative (AFFIRM) or negative (NEGATIVE).

SAY AGAIN “Repeat all, or the following part, of your last transmission.”

SPEAK SLOWER “Reduce your rate of speech.”

Note.— For normal rate of speech, see 5.2.1.5.3 b).

STANDBY “Wait and I will call you.”

Note.— The caller would normally re-establish contact if the delay is lengthy. STANDBY is not an approval or denial.

UNABLE “I cannot comply with your request, instruction, or clearance.”

Note.— UNABLE is normally followed by a reason.

WILCO (*Abbreviation for “will comply”.*)
“I understand your message and will comply with it.”

WORDS TWICE a) *As a request:* “Communication is difficult. Please send every word, or group of words, twice.”

b) *As information:* “Since communication is difficult, every word, or group of words, in this message will be sent twice.”

5.2.1.6 Composition of messages

5.2.1.6.1 Messages handled entirely by the aeronautical mobile service shall comprise the following parts in the order stated:

a) call indicating the addressee and the originator (*see* 5.2.1.7.3);

b) text (*see* 5.2.1.6.2.1.1).

Note.— The following examples illustrate the application of this procedure:

(call) NEW YORK RADIO SWISSAIR ONE ONE ZERO

(text) REQUEST SELCAL CHECK

or

(call) SWISSAIR ONE ONE ZERO NEW YORK RADIO

(text) CONTACT SAN JUAN ON FIVE SIX

5.2.1.6.2 Messages requiring handling by the AFTN for part of their routing and similarly messages which are not handled in accordance with predetermined distribution arrangements (*see* 3.3.7.1) shall be composed as follows:

5.2.1.6.2.1 When originated in an aircraft:

1) call (*see* 5.2.1.7.3);

2) the word FOR;

3) the name of the organization addressed;

4) the name of the station of destination;

5) the text.

5.2.1.6.2.1.1 The text shall be as short as practicable to convey the necessary information; full use shall be made of ICAO phraseologies.

Note.— The following example illustrates the application of this procedure:

(call) BOSTON RADIO SWISSAIR ONE TWO EIGHT

(address) FOR SWISSAIR BOSTON

(text) NUMBER ONE ENGINE CHANGE REQUIRED

5.2.1.6.2.2 *When addressed to an aircraft.* When a message, prepared in accordance with 4.4.2, is retransmitted by an aeronautical station to an aircraft in flight, the heading and address of the AFTN message format shall be omitted during the retransmission on the aeronautical mobile service.

5.2.1.6.2.2.1 When the provisions of 5.2.1.6.2.2 are applied, the aeronautical mobile service message transmission shall comprise:

- a) the text [incorporating any corrections (COR) contained in the AFTN message];
- b) the word FROM;
- c) the name of the originating organization and its location (taken from the origin section of the AFTN message).

5.2.1.6.2.2.2 **PANS.**— *When the text of a message to be transmitted by an aeronautical station to an aircraft in flight contains approved ICAO abbreviations, these abbreviations should normally be converted during the transmission of the message into the unabbreviated words or phrases which the abbreviations represent in the language used, except for those which, owing to frequent or common practice, are generally understood by aeronautical personnel.*

Note.— *The abbreviations which constitute the exceptions mentioned in 5.2.1.6.2.2.2 are specifically identified in the abbreviations encode sections of the PANS-ABC (Doc 8400).*

5.2.1.7 Calling

5.2.1.7.1 Radiotelephony call signs for aeronautical stations

Note.— *The formation of call signs as specified in ITU Radio Regulations S19 Section III and Section VII.*

5.2.1.7.1.1 Aeronautical stations in the aeronautical mobile service shall be identified by:

- a) the name of the location; and
- b) the unit or service available.

5.2.1.7.1.2 The unit or service shall be identified in accordance with the table below except that the name of the location or the unit/service may be omitted provided satisfactory communication has been established.

<i>Unit/service available</i>	<i>Call sign suffix</i>
area control centre	CONTROL
approach control	APPROACH
approach control radar arrivals	ARRIVAL
approach control radar departures	DEPARTURE

aerodrome control	TOWER
surface movement control	GROUND
radar (in general)	RADAR
precision approach radar	PRECISION
direction-finding station	HOMER
flight information service	INFORMATION
clearance delivery	DELIVERY
apron control	APRON
company dispatch	DISPATCH
aeronautical station	RADIO

5.2.1.7.2 Radiotelephony call signs for aircraft

5.2.1.7.2.1 Full call signs

5.2.1.7.2.1.1 An aircraft radiotelephony call sign shall be one of the following types:

- Type a) — the characters corresponding to the registration marking of the aircraft; or
- Type b) — the telephony designator of the aircraft operating agency, followed by the last four characters of the registration marking of the aircraft;
- Type c) — the telephony designator of the aircraft operating agency, followed by the flight identification.

Note 1.— *The name of the aircraft manufacturer or of the aircraft model may be used as a radiotelephony prefix to the Type a) call sign (see Table 5-1).*

Note 2.— *The telephony designators referred to in Types b) and c) are contained in Doc 8585 — Designators for Aircraft Operating Agencies, Aeronautical Authorities and Services.*

Note 3.— *Any of the foregoing call signs may be inserted in field 7 of the ICAO flight plan as the aircraft identification. Instructions on the completion of the flight plan form are contained in PANS-ATM, Doc 4444.*

5.2.1.7.2.2 Abbreviated call signs

5.2.1.7.2.2.1 The aircraft radiotelephony call signs shown in 5.2.1.7.2.1.1, with the exception of Type c), may be abbreviated in the circumstances prescribed in 5.2.1.7.3.3.1. Abbreviated call signs shall be in the following form:

- Type a) — the first character of the registration and at least the last two characters of the call sign;
- Type b) — the telephony designator of the aircraft operating agency, followed by at least the last two characters of the call sign;

Table 5-1. Examples of full call signs and abbreviated call signs
(see 5.2.1.7.2.1 and 5.2.1.7.2.2)

		Type a)		Type b)	Type c)
Full call sign	N 57826	*CESSNA FABCD	*CITATION FABCD	VARIG PVMA	SCANDINAVIAN 937
Abbreviated call sign	N26 or N826	CESSNA CD or CESSNA BCD	CITATION CD or CITATION BCD	VARIG MA or VARIG VMA	(no abbreviated form)

* Examples illustrate the application of Note 1 to 5.2.1.7.2.1.1.

Type c) — no abbreviated form.

Note.— Either the name of the aircraft manufacturer or of the aircraft model may be used in place of the first character in Type a).

5.2.1.7.3 Radiotelephony procedures

5.2.1.7.3.1 An aircraft shall not change the type of its radiotelephony call sign during flight, except temporarily on the instruction of an air traffic control unit in the interests of safety.

5.2.1.7.3.1.1 Except for reasons of safety no transmission shall be directed to an aircraft during take-off, during the last part of the final approach or during the landing roll.

5.2.1.7.3.2 Establishment of radiotelephony communications

5.2.1.7.3.2.1 Full radiotelephony call signs shall always be used when establishing communication. The calling procedure of an aircraft establishing communication shall be in accordance with Table 5-2.

5.2.1.7.3.2.2 **PANS.**— Stations having a requirement to transmit information to all stations likely to intercept should preface such transmission by the general call *ALL STATIONS*, followed by the identification of the calling station.

Note.— No reply is expected to such general calls unless individual stations are subsequently called to acknowledge receipt.

5.2.1.7.3.2.3 The reply to the above calls shall be in accordance with Table 5-3. The use of the calling aeronautical station's call sign followed by the answering aeronautical station's call sign shall be considered the invitation to proceed with transmission by the station calling.

5.2.1.7.3.2.4 **PANS.**— When a station is called but is uncertain of the identification of the calling station, it should reply by transmitting the following:

STATION CALLING . . . (station called) SAY AGAIN YOUR CALL SIGN

Note.— The following example illustrates the application of this procedure:

(CAIRO station replying)

STATION CALLING CAIRO (pause) SAY AGAIN YOUR CALL SIGN

5.2.1.7.3.2.5 Communications shall commence with a call and a reply when it is desired to establish contact, except that, when it is certain that the station called will receive the call, the calling station may transmit the message, without waiting for a reply from the station called.

5.2.1.7.3.2.6 Interpilot air-to-air communication shall be established on the air-to-air channel 123.45 MHz by either a directed call to a specific aircraft station or a general call, taking into account conditions pertaining to use of this channel.

Note.— For conditions on use of air-to-air channels see Annex 10, Volume V, 4.1.3.2.1, also Volume II, 5.2.2.1.1.4.

5.2.1.7.3.2.6.1 **PANS.**— As the aircraft may be guarding more than one frequency, the initial call should include the distinctive channel identification "INTERPILOT".

Note.— The following examples illustrate the application of this calling procedure.

CLIPPER 123 — SABENA 901 — INTERPILOT — DO YOU READ

or

ANY AIRCRAFT VICINITY OF 30 NORTH 160 EAST — JAPANAIR 401 — INTERPILOT — OVER

Table 5-2. Radiotelephony calling procedure* (see 5.2.1.7.3.2.1)

	Type a)	Type b)	Type c)
Designation of the station called	NEW YORK RADIO	NEW YORK RADIO	NEW YORK RADIO
Designation of the station calling	GABCD**	SPEEDBIRD ABCD**	AEROFLOT 321**

* In certain cases where the call is initiated by the aeronautical station, the call may be effected by transmission of coded tone signals.

** With the exception of the telephony designators and the type of aircraft, each character in the call sign shall be spoken separately. When individual letters are spelled out, the radiotelephony spelling alphabet prescribed in 5.2.1.3 shall be used. Numbers are to be spoken in accordance with 5.2.1.4.

Table 5-3. Radiotelephony reply procedure (see 5.2.1.7.3.2.3)

	Type a)	Type b)	Type c)
Designation of the station called	GABCD*	SPEEDBIRD ABCD*	AEROFLOT 321*
Designation of the answering station	NEW YORK RADIO	NEW YORK RADIO	NEW YORK RADIO

* With the exception of the telephony designators and the type of aircraft, each character in the call sign shall be spoken separately. When individual letters are spelled out, the radiotelephony spelling alphabet prescribed in 5.2.1.3 shall be used. Numbers are to be spoken in accordance with 5.2.1.4.

5.2.1.7.3.3 Subsequent radiotelephony communications

5.2.1.7.3.3.1 Abbreviated radiotelephony call signs, as prescribed in 5.2.1.7.2.2, shall be used only after satisfactory communication has been established and provided that no confusion is likely to arise. An aircraft station shall use its abbreviated call sign only after it has been addressed in this manner by the aeronautical station.

5.2.1.7.3.3.2 After contact has been established, continuous two-way communication shall be permitted without further identification or call until termination of the contact.

5.2.1.7.3.3.3 In order to avoid any possible confusion, when issuing ATC clearances and reading back such clearances, controllers and pilots shall always add the call sign of the aircraft to which the clearance applies.

5.2.1.7.3.4 Indication of transmitting channel

5.2.1.7.3.4.1 **PANS.**— As the aeronautical station operator generally guards more than one frequency, the call should be followed by an indication of the frequency used, unless other suitable means of identifying the frequency are known to exist.

5.2.1.7.3.4.2 **PANS.**— When no confusion is likely to arise, only the first two digits of the High Frequency (in kHz) need be used to identify the transmitting channel.

Note.— The following example illustrates the application of this procedure:

(PAA 325 calling Kingston on 8 871 kHz)

KINGSTON CLIPPER THREE TWO FIVE — ON EIGHT EIGHT

5.2.1.7.3.4.3 **PANS.**— Except as specified in 5.2.1.7.3.4.4 all six digits of the numerical designator should be used to identify the transmitting channel in VHF radiotelephony communications, except in the case of both the fifth and sixth digits being zeros, in which case only the first four digits should be used.

Note 1.— The following examples illustrate the application of the procedure in 5.2.1.7.3.4.3:

Channel	Transmitted as
118.000	ONE ONE EIGHT DECIMAL ZERO
118.005	ONE ONE EIGHT DECIMAL ZERO ZERO FIVE

5.2.2.5.2 PANS.— *In the case of transfer from one network to another, the transfer should preferably take place while the aircraft is in communication with a station operating in both networks to ensure continuity of communications. If, however, the change of network must take place concurrently with the transfer of communication to another network station, the transfer should be coordinated by the two network stations prior to advising or authorizing the frequency change. The aircraft should also be advised of the primary and secondary frequencies to be used after the transfer.*

5.2.2.5.3 An aircraft station which has transferred communications watch from one radio frequency to another shall, when so required by the appropriate ATS Authority, inform the aeronautical station concerned that communications watch has been established on the new frequency.

5.2.2.5.4 PANS.— *When entering a network after take-off, an aircraft station should transmit its take-off time or time over the last check-point, to the appropriate regular station.*

5.2.2.5.5 PANS.— *When entering a new network, an aircraft station should transmit the time over the last checkpoint, or of its last reported position, to the appropriate regular station.*

5.2.2.5.6 PANS.— *Before leaving the network, an aircraft station should in all cases advise the appropriate regular station of its intention to do so by transmitting one of the following phrases, as appropriate:*

- a) *when transferring to a pilot-to-controller channel:*
Aircraft: CHANGING TO . . . (air traffic services unit concerned)
- b) *after landing:*
Aircraft: LANDED . . . (location) . . . (time)

5.2.2.6 Transfer of VHF communications

5.2.2.6.1 An aircraft shall be advised by the appropriate aeronautical station to transfer from one radio frequency to another in accordance with agreed procedures. In the absence of such advice, the aircraft station shall notify the appropriate aeronautical station before such a transfer takes place.

5.2.2.6.2 When establishing initial contact on, or when leaving, a VHF frequency, an aircraft station shall transmit such information as may be prescribed by the appropriate Authority.

5.2.2.7 Voice communications failure

5.2.2.7.1 Air-ground

5.2.2.7.1.1 When an aircraft station fails to establish contact with the appropriate aeronautical station on the designated channel, it shall attempt to establish contact on the

previous channel used and, if not successful, on another channel appropriate to the route. If these attempts fail, the aircraft station shall attempt to establish communication with the appropriate aeronautical station, other aeronautical stations or other aircraft using all available means and advise the aeronautical station that contact on the assigned channel could not be established. In addition, an aircraft operating within a network shall monitor the appropriate VHF channel for calls from nearby aircraft.

5.2.2.7.1.2 If the attempts specified under 5.2.2.7.1.1 fail, the aircraft station shall transmit its message twice on the designated channel(s), preceded by the phrase “TRANSMITTING BLIND” and, if necessary, include the addressee(s) for which the message is intended.

5.2.2.7.1.2.1 PANS.— *In network operation, a message which is transmitted blind should be transmitted twice on both primary and secondary channels. Before changing channel, the aircraft station should announce the channel to which it is changing.*

5.2.2.7.1.3 Receiver failure

5.2.2.7.1.3.1 When an aircraft station is unable to establish communication due to receiver failure, it shall transmit reports at the scheduled times, or positions, on the channel in use, preceded by the phrase “TRANSMITTING BLIND DUE TO RECEIVER FAILURE”. The aircraft station shall transmit the intended message, following this by a complete repetition. During this procedure, the aircraft shall also advise the time of its next intended transmission.

5.2.2.7.1.3.2 An aircraft which is provided with air traffic control or advisory service shall, in addition to complying with 5.2.2.7.1.3.1, transmit information regarding the intention of the pilot-in-command with respect to the continuation of the flight of the aircraft.

5.2.2.7.1.3.3 When an aircraft is unable to establish communication due to airborne equipment failure it shall, when so equipped, select the appropriate SSR code to indicate radio failure.

Note.— *General rules which are applicable in the event of communications failure are contained in Annex 2 to the Convention.*

5.2.2.7.2 Ground-to-air

5.2.2.7.2.1 When an aeronautical station has been unable to establish contact with an aircraft station after calls on the frequencies on which the aircraft is believed to be listening, it shall:

- a) request other aeronautical stations to render assistance by calling the aircraft and relaying traffic, if necessary;

- b) request aircraft on the route to attempt to establish communication with the aircraft and relay traffic, if necessary.

5.2.2.7.2.2 The provisions of 5.2.2.7.2.1 shall also be applied:

- a) on request of the air traffic services unit concerned;
- b) when an expected communication from an aircraft has not been received within a time period such that the occurrence of a communication failure is suspected.

Note.— A specific time period may be prescribed by the appropriate ATS Authority.

5.2.2.7.2.3 **Recommendation.**— *If the attempts specified in 5.2.2.7.2.1 fail, the aeronautical station should transmit messages addressed to the aircraft, other than messages containing air traffic control clearances, by blind transmission on the frequency(ies) on which the aircraft is believed to be listening.*

5.2.2.7.2.4 Blind transmission of air traffic control clearances shall not be made to aircraft, except at the specific request of the originator.

5.2.2.7.3 *Notification of communications failure.* The air-ground control radio station shall notify the appropriate air traffic services unit and the aircraft operating agency, as soon as possible, of any failure in air-ground communication.

5.2.3 HF message handling

5.2.3.1 General

5.2.3.1.1 **PANS.**— *When operating within a network, an aircraft station should, in principle, whenever communications conditions so permit, transmit its messages to the stations of the network from which they can be most readily delivered to their ultimate destinations. In particular, aircraft reports required by air traffic services should be transmitted to the network station serving the flight information centre or area control centre in whose area the aircraft is flying. Conversely, messages to aircraft in flight should, whenever possible, be transmitted directly to the aircraft by the network station serving the location of the originator.*

Note.— *Exceptionally, an aircraft may need to communicate with an aeronautical station outside the network appropriate to its particular route segment. This is permissible, provided it can be done without interrupting the continuous watch with the communication network appropriate to the route segment, when such watch is required by the appropriate ATS Authority, and provided it does not cause undue interference with the operation of other aeronautical stations.*

5.2.3.1.2 **PANS.**— *Messages passed from an aircraft to a network station should, whenever possible, be intercepted and acknowledged by other stations of the network, which serve locations where the information is also required.*

Note 1.— *Determination of the arrangements for dissemination of air-ground messages without address will be a matter for multilateral or local agreement.*

Note 2.— *In principle, the number of stations required to intercept are to be kept to a minimum consistent with the operational requirement.*

5.2.3.1.2.1 **PANS.**— *Acknowledgement of intercept should be made immediately after the acknowledgement of receipt by the station to which the message was passed.*

5.2.3.1.2.2 **PANS.**— *Acknowledgement of an intercept message should be made by transmitting the radio call sign of the station having intercepted the message, followed by the word ROGER, if desired, and the call sign of the station having transmitted the message.*

5.2.3.1.2.3 **PANS.**— *In the absence of acknowledgement of intercept within one minute, the station accepting the message from the aircraft should forward it, normally over the aeronautical fixed service, to the station(s) which have failed to acknowledge intercept.*

5.2.3.1.2.3.1 **PANS.**— *If, in abnormal circumstances, forwarding is necessary using the air-ground channels, the provisions of 5.2.2.3.4 should be observed.*

5.2.3.1.2.4 **PANS.**— *When such forwarding is done over the aeronautical fixed telecommunication network, the messages should be addressed to the network station(s) concerned.*

5.2.3.1.2.5 **PANS.**— *The station(s) to which the messages have been forwarded should carry out local distribution of them in the same way as if they had been received directly from the aircraft over the air-ground channel.*

5.2.3.1.2.6 The aeronautical station receiving an air-report or a message containing meteorological information transmitted by an aircraft in flight shall forward the message without delay:

- 1) to the air traffic services unit and meteorological offices associated with the station;
- 2) to the aircraft operating agency concerned or its representative when that agency has made a specific request to receive such messages.

5.2.3.1.3 **PANS.**— *The provisions of 5.2.3.1.2 should also be applied, if practicable, in non-network operation.*

CHAPTER 8. AERONAUTICAL MOBILE SERVICE — DATA LINK COMMUNICATIONS

8.1 General

Note 1.— While the provisions of Chapter 8 are based primarily on the use of controller-pilot data link communications (CPDLC), the provisions of 8.1 would apply to other data link applications, where applicable, including Data link—flight information services (e.g. D-ATIS, D-VOLMET, etc.).

Note 2.— For the purposes of these provisions, the communication procedures applicable to the aeronautical mobile service, as appropriate, also apply to the aeronautical mobile satellite service.

8.1.1 Composition of data link messages

8.1.1.1 The text of messages shall be composed in standard message format (e.g. CPDLC message set), in plain language or in abbreviations and codes, as prescribed in 3.7. Plain language shall be avoided when the length of the text can be reduced by using appropriate abbreviations and codes. Non-essential words and phrases, such as expressions of politeness, shall not be used.

8.1.1.2 The following characters are allowed in the composition of messages:

Letters: ABCDEFGHIJKLMNOPQRSTUVWXYZ
(upper case only)

Figures: 1 2 3 4 5 6 7 8 9 0

Other signs:

-	(hyphen)
?	(question mark)
:	(colon)
((open bracket)
)	(close bracket)
.	(full stop, period, or decimal point)
,	(comma)
'	(apostrophe)
=	(double hyphen or equal sign)
/	(oblique)
+	(plus sign)

and the space character.

Characters other than those listed above shall not be used in messages.

8.1.1.3 Roman numerals shall not be employed. If the originator of a message wishes the addressee to be informed that Roman figures are intended, the Arabic figure or figures shall be written and preceded by the word ROMAN.

8.1.2 Display of data link messages

8.1.2.1 Ground and airborne systems shall allow for messages to be appropriately displayed, printed when required, and stored in a manner that permits timely and convenient retrieval should such action be necessary.

8.1.2.2 Whenever textual presentation is required, the English language shall be displayed as a minimum.

8.2 CPDLC procedures

Note.— The CPDLC message set referred to in this section can be found in the PANS-ATM, Appendix 5.

8.2.1 In all communications the highest standard of discipline shall be observed at all times.

8.2.1.1 **Recommendation.**— *Consequences of human performance, which could affect the accurate reception and comprehension of messages, should be taken into consideration when composing a message.*

Note.— Guidance material on human performance can be found in the Human Factors Training Manual (Doc 9683) and Human Factors Guidelines for Air Traffic Management (ATM) Systems (Doc 9758).

8.2.2 Ground and airborne systems shall provide controllers and pilots with the capability to review and validate any operational messages they send.

8.2.3 Ground and airborne systems shall provide controllers and pilots with the capability to review, validate and when applicable, acknowledge any operational messages they receive.

8.2.4 The controller shall be provided with the capability to respond to messages, including emergencies, to issue clearances, instructions and advisories, and to request and provide information, as appropriate.

8.2.5 The pilot shall be provided with the capability to respond to messages, to request clearances and information, to report information, and to declare or cancel an emergency.

8.2.6 The pilot and the controller shall be provided with the capability to exchange messages which do not conform to defined formats (i.e. free text messages).

8.2.7 Unless specified by the appropriate ATS authority, voice read-back of CPDLC messages shall not be required.

8.2.8 Establishment of CPDLC

8.2.8.1 The controller and the pilot shall be informed when CPDLC has been successfully established.

8.2.8.2 **PANS.**— *CPDLC shall be established in sufficient time to ensure that the aircraft is communicating with the appropriate ATC unit.*

8.2.8.3 The controller and pilot shall be informed when CPDLC is available for operational use, at initial establishment, as well as on resumption of CPDLC after a failure.

8.2.8.4 The pilot shall be able to identify the air traffic control unit providing the air traffic control service at any time while the service is being provided.

8.2.8.5 When the airborne system detects that CPDLC is available for operational use, it shall send the CPDLC downlink message element CURRENT DATA AUTHORITY.

8.2.8.6 Airborne-initiated CPDLC

8.2.8.6.1 **PANS.**— *When an ATC unit receives an unexpected request for CPDLC from an aircraft, the circumstances leading to the request shall be obtained from the aircraft to determine further action.*

8.2.8.6.2 **PANS.**— *When the ATC unit rejects a request for CPDLC, it shall provide the pilot with the reason for the rejection using an appropriate CPDLC message.*

8.2.8.7 ATC unit-initiated CPDLC

8.2.8.7.1 An ATC unit shall only establish CPDLC with an aircraft if the aircraft has no CPDLC link established, or when authorized by the ATC unit currently having CPDLC established with the aircraft.

8.2.8.7.2 When a request for CPDLC is rejected by an aircraft, the reason for the rejection shall be provided using CPDLC downlink message element NOT CURRENT DATA AUTHORITY or message element NOT AUTHORIZED NEXT DATA AUTHORITY, as appropriate. Local procedures shall dictate whether the reason for rejection is presented to the

controller. No other reasons for airborne rejection of ATC unit-initiation of CPDLC shall be permitted.

8.2.9 Exchange of operational CPDLC messages

8.2.9.1 Controllers and pilots shall construct CPDLC messages using the defined message set, a free text message or a combination of both.

8.2.9.1.1 **PANS.**— *When CPDLC is being used, and the intent of the message is included in the CPDLC message set contained in the PANS-ATM, Appendix 5, the associated message shall be used.*

8.2.9.1.2 **PANS.**— *Except as provided by 8.2.12.1, when a controller or pilot communicates via CPDLC, the response should be via CPDLC. When a controller or pilot communicates via voice, the response should be via voice.*

8.2.9.1.3 **PANS.**— *Whenever a correction to a message sent via CPDLC is deemed necessary or the contents of a message needs to be clarified, the controller or pilot shall use the most appropriate means available for issuing the correct details or for providing clarification.*

Note.— *The following procedures may be applied by the controller, in terms of correcting clearances, instructions or information, or by a pilot, in terms of correcting a reply to an uplink message or correcting previously advised requests or information.*

8.2.9.1.3.1 **PANS.**— *When voice communications are used to correct a CPDLC message for which no operational response has yet been received, the controller's or pilot's transmission shall be prefaced by the phrase: "DISREGARD CPDLC (message type) MESSAGE, BREAK" — followed by the correct clearance, instruction, information or request.*

Note.— *It is possible that, at the time the voice communicated clarification is transmitted, the CPDLC message being referred to has not yet reached the recipient, or has reached the recipient but has not been acted upon, or has reached the recipient and has been acted upon.*

8.2.9.1.3.2 **PANS.**— *When referring to and identifying the CPDLC message to be disregarded, caution should be exercised in its phrasing so as to avoid any ambiguity with the issuance of the accompanying corrected clearance, instruction, information or request.*

Note.— *For example, if SAS445, maintaining FL290, had been instructed via CPDLC to climb to FL350, and the controller needs to correct the clearance utilizing voice communications, the following phrase might be used:*

SAS445 DISREGARD CPDLC CLIMB CLEARANCE MESSAGE, BREAK, CLIMB TO FL310.

8.2.9.1.3.3 **PANS.**— *If a CPDLC message that requires an operational response is subsequently negotiated via voice, an appropriate CPDLC message closure response shall be sent to ensure proper synchronization of the CPDLC dialogue. This could be achieved either by explicitly instructing the recipient of the message via voice to close the dialogue or by allowing the system to automatically close the dialogue.*

8.2.9.2 The composition of a CPDLC message shall not exceed five message elements, only two of which may contain the route clearance variable.

8.2.9.2.1 **PANS.**— *The use of long messages or messages with multiple clearance elements, multiple clearance request elements or messages with a combination of clearances and information should be avoided where possible.*

Note.— *Guidance material on the development of local operating procedures and CPDLC good operating technique can be found in the Human Factors Guidelines for Air Traffic Management (ATM) Systems (Doc 9758).*

8.2.9.3 CPDLC ground systems and airborne systems shall be capable of using the CPDLC message urgency and alert attributes to alter presentations in order to draw attention to higher priority messages.

Note.— *Message attributes dictate certain message handling requirements for the CPDLC user receiving a message. Each CPDLC message has three attributes: urgency, alert and response attributes. When a message contains multiple message elements, the highest precedence message element attribute type becomes the attribute type for the entire message.*

8.2.9.3.1 The urgency attribute shall delineate the queuing requirements for received messages that are displayed to the end-user. Urgency types are presented in Table 8-1.

8.2.9.3.2 The alert attribute shall delineate the type of alerting required upon message receipt. Alert types are presented in Table 8-2.

8.2.9.3.3 The response attribute shall delineate valid responses for a given message element. Response types are presented in Table 8-3 for uplink messages and Table 8-4 for downlink messages.

8.2.9.3.3.1 **PANS.**— *When a multi-element message requires a response, and the response is in the form of a single message element, the response shall apply to all message elements.*

Note.— *For example, a multi-element message containing CLIMB TO FL310 MAINTAIN MACH.84, a WILCO response applies to, and indicates compliance with, both elements of the message.*

8.2.9.3.3.2 **PANS.**— *When a single message element clearance or any part of a multi-element clearance message cannot be complied with, the pilot shall send an UNABLE response for the whole message.*

8.2.9.3.3.3 **PANS.**— *The controller shall respond with an UNABLE message that applies to all elements of the request when no element(s) of a single or multi-element clearance request can be approved. The current clearance(s) shall not be restated.*

8.2.9.3.3.4 **PANS.**— *When a multi-element clearance request can only be partially accommodated, the controller shall respond with an UNABLE message applying to all the message elements of the request and, if appropriate, include a reason and/or information on when a clearance may be expected.*

Table 8-1. Urgency Attribute (Uplink and Downlink)

Type	Description	Precedence
D	Distress	1
U	Urgent	2
N	Normal	3
L	Low	4

Table 8-2. Alert Attribute (Uplink and Downlink)

Type	Description	Precedence
H	High	1
M	Medium	2
L	Low	3
N	No alerting required	4

Table 8-3. Response Attribute (Uplink)

<i>Type</i>	<i>Response required</i>	<i>Valid responses</i>	<i>Precedence</i>
W/U	Yes	WILCO, UNABLE, STANDBY, NOT CURRENT DATA AUTHORITY, NOT AUTHORIZED NEXT DATA AUTHORITY, LOGICAL ACKNOWLEDGEMENT (only if required), ERROR	1
A/N	Yes	AFFIRM, NEGATIVE, STANDBY, NOT CURRENT DATA AUTHORITY, NOT AUTHORIZED NEXT DATA AUTHORITY, LOGICAL ACKNOWLEDGEMENT (only if required), ERROR	2
R	Yes	ROGER, UNABLE, STANDBY, NOT CURRENT DATA AUTHORITY, NOT AUTHORIZED NEXT DATA AUTHORITY, LOGICAL ACKNOWLEDGEMENT (only if required), ERROR	3
Y	Yes	Any CPDLC downlink message, LOGICAL ACKNOWLEDGEMENT (only if required)	4
N	No, unless logical acknowledgement required	LOGICAL ACKNOWLEDGEMENT (only if required), NOT CURRENT DATA AUTHORITY, NOT AUTHORIZED NEXT DATA AUTHORITY, ERROR	5

Table 8-4. Response Attribute (Downlink)

<i>Type</i>	<i>Response required</i>	<i>Valid responses</i>	<i>Precedence</i>
Y	Yes	Any CPDLC uplink message, LOGICAL ACKNOWLEDGEMENT (only if required)	1
N	No, unless logical acknowledgement required	LOGICAL ACKNOWLEDGEMENT (only if required), SERVICE UNAVAILABLE, FLIGHT PLAN NOT HELD, ERROR	2

Note.— A separate CPDLC message (or messages) may subsequently be transmitted to respond to those elements that can be accommodated.

8.2.9.3.3.5 **PANS.**— *When all elements of a single or multi-element clearance request can be accommodated, the controller shall respond with clearances corresponding to each element of the request. This response should be a single uplink message.*

Note.— For example, while messages containing multi-element clearance requests are to be avoided, a multi-element downlink message containing the indicated message elements:

REQUEST CLEARANCE YQM YYG YYT YQX
TRACK X EINN EDDF
REQUEST CLIMB TO FL350
REQUEST MACH 0.84

could be responded to with

CLEARED YQM YYG YYT YQX TRACK X EINN
EDDF
CLIMB TO FL350
REPORT MAINTAINING
CROSS YYG AT OR AFTER 1150
NO SPEED RESTRICTION.

8.2.9.3.3.6 **PANS.**— *When a CPDLC message contains more than one message element and the response attribute for the message is Y, when utilized, the single response message shall contain the corresponding number of replies in the same order.*

Note.— For example, a multi-element uplink message containing

CONFIRM SQUAWK
WHEN CAN YOU ACCEPT FL410

could be responded to with

SQUAWKING 5525
WE CAN ACCEPT FL410 AT 1636Z

8.2.9.4 When a ground or airborne system generates the CPDLC message ERROR, the reason for the error shall be included in the message.

8.2.9.5 The appropriate ATS authority shall select those message elements contained in PANS-ATM, Appendix 5 that support operations in their airspace. Should an ATS authority choose to select a subset of the message elements, and a received message does not belong to this subset, the ATC unit shall respond by uplinking the message element SERVICE UNAVAILABLE.

Note.— Further processing of the received message is not required.

8.2.9.5.1 **Recommendation.**— *Only the uplink messages appropriate to a particular control sector's operations should be provided to the controller.*

Note.— The CPDLC message set contained in PANS-ATM, Appendix 5 was developed to encompass different air traffic management environments.

8.2.9.5.2 When considered necessary by the appropriate ATS authority, additional pre-formatted free text messages shall be made available to the controller for those occasions where the CPDLC message set contained in the PANS-ATM does not provide for specific requirements. In such cases, a list of pre-formatted free text messages shall be established by the appropriate ATS authority, in consultation with operators and other ATS authorities that may be concerned.

8.2.9.5.3 Information concerning CPDLC message element subsets utilized and, if applicable, any additional pre-formatted free text messages, shall be published in aeronautical information publications.

8.2.9.6 Transfer of CPDLC

Note.— Details on CPDLC transfer can be found in the Manual of Air Traffic Services Data Link Applications (Doc 9694).

8.2.9.6.1 **PANS.**— *When CPDLC is transferred, the transfer of voice communications and CPDLC shall commence concurrently.*

8.2.9.6.2 **PANS.**— *When an aircraft is transferred from an ATC unit where CPDLC is available to an ATC unit where CPDLC is not available, CPDLC termination shall commence concurrent with the transfer of voice communications.*

8.2.9.6.3 When a transfer of CPDLC results in a change of data authority, and there are still messages for which the closure response has not been received (i.e. messages outstanding), the controller transferring the CPDLC shall be informed.

8.2.9.6.3.1 If the controller needs to transfer the aircraft without replying to any downlink message(s) outstanding, the system shall have the capability to automatically send the appropriate closure response message(s). In such cases, the contents of any automatically sent closure response message(s) shall be promulgated in local instructions.

8.2.9.6.3.2 When the controller decides to transfer the aircraft without receiving pilot responses to any uplink message(s) outstanding, the ground system shall have the capability to automatically end the dialogue for each message prior to the transfer.

8.2.9.6.3.2.1 **PANS.**— *The controller should revert to voice communications to clarify any ambiguity associated with the message(s) outstanding.*

8.2.9.6.4 When a transfer of CPDLC does not result in a change of data authority, and there are still messages outstanding, these messages shall either be forwarded to the appropriate controller or shall be closed in accordance with local instructions and, if necessary, letters of agreement.

8.2.10 Display of CPDLC messages

Recommendation.— *ATC units utilizing a CPDLC message contained in the PANS-ATM should display the associated text pertaining to that message as presented in the PANS-ATM, Appendix 5.*

8.2.11 Free text messages

PANS.— *The use of free text messages by controllers or pilots, other than pre-formatted free text messages referred to in paragraph 8.2.9.5.2, should be avoided.*

Note.— *Whilst it is recognized that non-routine and emergency situations may necessitate the use of free text, particularly when voice communication has failed, the avoidance of utilizing free text messages is intended to reduce the possibility of misinterpretation and ambiguity.*

8.2.12 Emergencies, hazards and equipment failure procedures

8.2.12.1 **PANS.**— *When a CPDLC emergency message is received, the controller shall acknowledge receipt of the message by the most efficient means available.*

8.2.12.2 **PANS.**— *When responding via CPDLC to a report indicating unlawful interference, uplink message ROGER 7500 shall be used.*

8.2.12.3 **PANS.**— *When responding via CPDLC to all other emergency or urgency messages, uplink message ROGER shall be used.*

8.2.12.4 When a CPDLC message requires a logical acknowledgement and/or an operational response, and such a response is not received, the pilot or controller, as appropriate, shall be alerted.

8.2.12.5 Failure of CPDLC

Note.— *Action to be taken in the event of the failure of a single CPDLC message is covered in 8.2.12.7.*

8.2.12.5.1 **Recommendation.**— *A CPDLC failure should be detected in a timely manner.*

8.2.12.5.2 The controller and pilot shall be alerted to a failure of CPDLC as soon as a failure has been detected.

8.2.12.5.3 **PANS.**— *When a controller or pilot is alerted that CPDLC has failed, and the controller or pilot needs to communicate prior to CPDLC being restored, the controller or pilot should revert to voice, if possible, and preface the information with the phrase:*

CPDLC FAILURE.

8.2.12.5.4 **PANS.**— *Controllers having a requirement to transmit information concerning a complete CPDLC ground system failure to all stations likely to intercept should preface such a transmission by the general call ALL STATIONS CPDLC FAILURE, followed by the identification of the calling station.*

Note.— *No reply is expected to such general calls unless individual stations are subsequently called to acknowledge receipt.*

8.2.12.5.5 **PANS.**— *When CPDLC fails and communications revert to voice, all CPDLC messages outstanding should be considered not delivered and the entire dialogue involving the messages outstanding should be recommenced by voice.*

8.2.12.5.6 **PANS.**— *When CPDLC fails but is restored prior to a need to revert to voice communications, all messages outstanding should be considered not delivered and the entire dialogue involving the messages outstanding should be recommenced via CPDLC.*

8.2.12.6 Intentional shutdown of CPDLC

8.2.12.6.1 When a system shutdown of the communications network or the CPDLC ground system is planned, a NOTAM shall be published to inform all affected parties of the shutdown period and if necessary, the details of the voice communication frequencies to be used.

8.2.12.6.2 Aircraft currently in communication with the ATC unit shall be informed by voice or CPDLC of any imminent loss of CPDLC service.

8.2.12.6.3 The controller and pilot shall be provided with the capability to abort CPDLC.

8.2.12.7 Failure of a single CPDLC message

PANS.— *When a controller or pilot is alerted that a single CPDLC message has failed, the controller or pilot shall take one of the following actions, as appropriate:*

- a) *via voice, confirm the actions that will be undertaken with respect to the related dialogue, prefacing the information with the phrase:*

CPDLC MESSAGE FAILURE;

b) via CPDLC, reissue the CPDLC message that failed.

8.2.12.8 Discontinuation of the use of CPDLC pilot requests

8.2.12.8.1 **PANS.**— *When a controller requires all stations or a specific flight to avoid sending CPDLC requests for a limited period of time, the following phrase shall be used:*

((call sign) or ALL STATIONS) STOP SENDING CPDLC REQUESTS [UNTIL ADVISED] [(reason)]

Note.— *Under these circumstances, CPDLC remains available for the pilot to, if necessary, respond to messages, report information, and declare and cancel an emergency.*

8.2.12.8.2 **PANS.**— *The resumption of the normal use of CPDLC shall be advised by using the following phrase:*

((call sign) or ALL STATIONS) RESUME NORMAL CPDLC OPERATIONS

8.2.13 Where the testing of CPDLC with an aircraft could affect the air traffic services being provided to the aircraft, coordination shall be effected prior to such testing.

8.2.14 Downstream clearance delivery service

8.2.14.1 The appropriate ATS authority shall determine whether an ATC unit supports downstream clearance delivery service.

8.2.14.2 *Establishment of downstream clearance delivery service*

8.2.14.2.1 Downstream clearance delivery service shall only be initiated by the airborne system. The initiation shall

indicate that this communication is only to receive a downstream clearance.

8.2.14.2.2 When an ATC unit rejects a request for downstream clearance delivery service, it shall provide the pilot with the reason for the rejection using the CPDLC message SERVICE UNAVAILABLE.

8.2.14.3 *Operation of downstream clearance delivery service*

8.2.14.3.1 The controller and pilot shall be informed when downstream clearance delivery service is available for operational communication.

8.2.14.3.2 The controller and pilot shall be informed of the failure of downstream clearance delivery service.

8.2.14.3.3 The CPDLC message elements that are permitted for downstream clearance delivery service shall be established by regional air navigation agreement.

8.2.14.3.4 A clearance request issued as a downstream clearance request shall be clearly identifiable as such to the controller.

8.2.14.3.5 A clearance issued as a downstream clearance shall be clearly identifiable as such to the pilot.

8.2.14.4 *Termination of downstream clearance delivery service*

8.2.14.4.1 Termination of downstream clearance delivery service shall only be initiated by the airborne system.

8.2.14.4.2 Downstream clearance delivery service with an ATC unit shall be terminated whenever the downstream data authority becomes the current data authority.

