

COVER SHEET TO AMENDMENT 83

**INTERNATIONAL STANDARDS
AND RECOMMENDED PRACTICES**

AERONAUTICAL TELECOMMUNICATIONS

**ANNEX 10
TO THE CONVENTION ON INTERNATIONAL CIVIL AVIATION**

**VOLUME III
COMMUNICATION SYSTEMS
(Part I — Digital Data Communication Systems
Part II — Voice Communication Systems)**

SECOND EDITION OF VOLUME III — JULY 2007

INTERNATIONAL CIVIL AVIATION ORGANIZATION

Checklist of Amendments to Annex 10, Volume III

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Transmittal note

Amendment 83

to the

International Standards and
Recommended Practices

AERONAUTICAL TELECOMMUNICATIONS

(Annex 10, Volume III, to the Convention on International Civil Aviation)

1. Insert the following replacement pages in Annex 10, Volume III (Second Edition) to incorporate Amendment 83 which becomes applicable on 20 November 2008:
 - a) Pages (iii) and (vi) — Table of Contents
 - b) Page (xi) — Foreword
 - c) Pages I-1-1 to I-1-3 — Part I, Chapter 1
 - d) Pages I-3-1 to I-3-8 — Part I, Chapter 3
 - e) Pages II-2-1 to II-2-8 — Part II, Chapter 2
 - f) ATT II-1 — Attachment to Part II
 2. Remove pages I-3-9 to I-3-19 inclusive.
 3. Record the entry of this amendment on page (ii).
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<i>Amendment</i>	<i>Source(s)</i>	<i>Subject(s)</i>	<i>Adopted Effective Applicable</i>
77	Secondary Surveillance Radar Improvements and Collision Avoidance Systems Panel (SICASP)	Mode S subnetwork (Part I), aircraft addressing system (Part I).	27 February 2002 15 July 2002 28 November 2002
78	Air Navigation Commission	Changes to technical specifications relating to radio frequency channels; introduction of registration requirement for ELTs; incorporation of VDL Modes 3 and 4 in the table of ATN subnetwork priorities (Table 3-3); editorial amendments.	5 March 2003 14 July 2003 27 November 2003
79	Eighth meeting of the Aeronautical Mobile Communications Panel (AMCP)	Changes to technical specifications relating to high frequency data link (HF DL) to align them with relevant provisions of ITU RR; introduction of FM immunity characteristics for VDL Mode 4; deletion of the note indicating that VDL Mode 4 SARPs apply to surveillance applications.	23 February 2004 12 July 2004 25 November 2004
80	Air Navigation Commission	Provisions for the location protocols for use in emergency locator transmitters (ELTs) operating on the 406 MHz frequency.	25 February 2005 11 July 2005 24 November 2005
81	—	No change.	—
82	Aeronautical Communications Panel (ACP); Surveillance and Conflict Resolution Systems Panel (SCRSP); Operational Data Link Panel (OPLINKP)	Updating ATN provisions on AMHS; revision of AMS(R)S SARPs; introduction of UAT; updating of material on SSR Mode S data link and use of Mode S extended squitter for ADS-B; relocation of Mode S and extended squitter ADS-B data formats to separate manuals.	26 February 2007 16 July 2007 22 November 2007
83	Aeronautical Communications Panel (ACP)	The introduction of Internet Protocol Suite (IPS) technology to the aeronautical telecommunication network (ATN) and introduction of provisions for 8.33 kHz offset carrier systems in the very high frequency (VHF) double sideband-amplitude modulation (DSB-AM).	10 March 2008 20 July 2008 20 November 2008

INTERNATIONAL STANDARDS AND RECOMMENDED PRACTICES

PART I — DIGITAL DATA COMMUNICATION SYSTEMS

CHAPTER 1. DEFINITIONS

Note 1.— All references to “Radio Regulations” are to the Radio Regulations published by the International Telecommunication Union (ITU). Radio Regulations are amended from time to time by the decisions embodied in the Final Acts of World Radiocommunication Conferences held normally every two to three years. Further information on the ITU processes as they relate to aeronautical radio system frequency use is contained in the Handbook on Radio Frequency Spectrum Requirements for Civil Aviation including statement of approved ICAO policies (Doc 9718).

Note 2.— This Part of Annex 10 includes Standards and Recommended Practices for certain forms of equipment for communication systems. While the Contracting State will determine the necessity for specific installations in accordance with the conditions prescribed in the relevant Standard or Recommended Practice, review of the need for specific installation and the formulation of ICAO opinion and recommendations to Contracting States concerned, is carried out periodically by Council, ordinarily on the basis of recommendations of Regional Air Navigation Meetings (Doc 8144, Directives to Regional Air Navigation Meetings and Rules of Procedure for their Conduct).

Note 3.— This chapter contains general definitions relevant to communication systems. Definitions specific to each of the systems included in this volume are contained in the relevant chapters.

Note 4.— Material on secondary power supply and guidance material concerning reliability and availability for communication systems is contained in Annex 10, Volume I, 2.9 and Volume I, Attachment F, respectively.

Aeronautical administrative communications (AAC). Communications necessary for the exchange of aeronautical administrative messages.

Aeronautical operational control (AOC). Communication required for the exercise of authority over the initiation, continuation, diversion or termination of flight for safety, regularity and efficiency reasons.

Aeronautical telecommunication network (ATN). A global internetwork architecture that allows ground, air-ground and avionic data subnetworks to exchange digital data for the safety of air navigation and for the regular, efficient and economic operation of air traffic services.

Aircraft address. A unique combination of twenty-four bits available for assignment to an aircraft for the purpose of air-ground communications, navigation and surveillance.

Aircraft earth station (AES). A mobile earth station in the aeronautical mobile-satellite service located on board an aircraft (see also “GES”).

Air traffic service. A generic term meaning variously, flight information service, alerting service, air traffic advisory service, air traffic control service (area control service, approach control service or aerodrome control service).

Automatic dependent surveillance — contract (ADS-C). A means by which the terms of an ADS-C agreement will be exchanged between the ground system and the aircraft, via a data link, specifying under what conditions ADS-C reports would be initiated, and what data would be contained in the reports.

Automatic terminal information service (ATIS). The automatic provision of current, routine information to arriving and departing aircraft throughout 24 hours or a specified portion thereof.

Data link-automatic terminal information service (D-ATIS). The provision of ATIS via data link.

Voice-automatic terminal information service (Voice-ATIS). The provision of ATIS by means of continuous and repetitive voice broadcasts.

Bit error rate (BER). The number of bit errors in a sample divided by the total number of bits in the sample, generally averaged over many such samples.

Carrier-to-multipath ratio (C/M). The ratio of the carrier power received directly, i.e. without reflection, to the multipath power, i.e. carrier power received via reflection.

Carrier-to-noise density ratio (C/N₀). The ratio of the total carrier power to the average noise power in a 1 Hz bandwidth, usually expressed in dBHz.

Channel rate. The rate at which bits are transmitted over the RF channel. These bits include those bits used for framing and error correction, as well as the information bits. For burst transmission, the channel rate refers to the instantaneous burst rate over the period of the burst.

Channel rate accuracy. This is relative accuracy of the clock to which the transmitted channel bits are synchronized. For example, at a channel rate of 1.2 kbits/s, maximum error of one part in 10^6 implies the maximum allowed error in the clock is $\pm 1.2 \times 10^{-3}$ Hz.

Circuit mode. A configuration of the communications network which gives the appearance to the application of a dedicated transmission path.

Controller pilot data link communications (CPDLC). A means of communication between controller and pilot, using data link for ATC communications.

Data link flight information services (D-FIS). The provision of FIS via data link.

Doppler shift. The frequency shift observed at a receiver due to any relative motion between transmitter and receiver.

End-to-end. Pertaining or relating to an entire communication path, typically from (1) the interface between the information source and the communication system at the transmitting end to (2) the interface between the communication system and the information user or processor or application at the receiving end.

End-user. An ultimate source and/or consumer of information.

Energy per symbol to noise density ratio (E_s/N₀). The ratio of the average energy transmitted per channel symbol to the average noise power in a 1 Hz bandwidth, usually expressed in dB. For A-BPSK and A-QPSK, one channel symbol refers to one channel bit.

Equivalent isotropically radiated power (e.i.r.p.). The product of the power supplied to the antenna and the antenna gain in a given direction relative to an isotropic antenna (*absolute or isotropic gain*).

Flight information service (FIS). A service provided for the purpose of giving advice and information useful for the safe and efficient conduct of flights.

Forward error correction (FEC). The process of adding redundant information to the transmitted signal in a manner which allows correction, at the receiver, of errors incurred in the transmission.

Gain-to-noise temperature ratio. The ratio, usually expressed in dB/K, of the antenna gain to the noise at the receiver output of the antenna subsystem. The noise is expressed as the temperature that a 1 ohm resistor must be raised to produce the same noise power density.

Ground earth station (GES). An earth station in the fixed satellite service, or, in some cases, in the aeronautical mobile-satellite service, located at a specified fixed point on land to provide a feeder link for the aeronautical mobile-satellite service.

Note.— This definition is used in the ITU's Radio Regulations under the term "aeronautical earth station". The definition herein as "GES" for use in the SARPs is to clearly distinguish it from an aircraft earth station (AES), which is a mobile station on an aircraft.

Mode S subnetwork. A means of performing an interchange of digital data through the use of secondary surveillance radar (SSR) Mode S interrogators and transponders in accordance with defined protocols.

Point-to-point. Pertaining or relating to the interconnection of two devices, particularly end-user instruments. A communication path of service intended to connect two discrete end-users; as distinguished from broadcast or multipoint service.

Slotted aloha. A random access strategy whereby multiple users access the same communications channel independently, but each communication must be confined to a fixed time slot. The same timing slot structure is known to all users, but there is no other coordination between the users.

Time division multiple access (TDMA). A multiple access scheme based on time-shared use of an RF channel employing: (1) discrete contiguous time slots as the fundamental shared resource; and (2) a set of operating protocols that allows users to interact with a master control station to mediate access to the channel.

Time division multiplex (TDM). A channel sharing strategy in which packets of information from the same source but with different destinations are sequenced in time on the same channel.

Transit delay. In packet data systems, the elapsed time between a request to transmit an assembled data packet and an indication at the receiving end that the corresponding packet has been received and is ready to be used or forwarded.

VHF digital link (VDL). A constituent mobile subnetwork of the aeronautical telecommunication network (ATN), operating in the aeronautical mobile VHF frequency band. In addition, the VDL may provide non-ATN functions such as, for instance, digitized voice.

CHAPTER 3. AERONAUTICAL TELECOMMUNICATION NETWORK

Note 1.— Detailed technical specifications for ATN/OSI applications are contained in the Manual on Detailed Technical Specifications for the Aeronautical Telecommunication Network (ATN) using ISO/OSI standards and protocols (Doc 9880) and in the Manual of Technical Provisions for the Aeronautical Telecommunication Network (ATN) (Doc 9705).

Note 2.— Detailed technical specifications for ATN/IPS applications are contained in the Manual for the ATN using IPS standards and protocols (Doc 9896) (available electronically on the ICAO-Net).

3.1 DEFINITIONS

Application entity (AE). An AE represents a set of ISO/OSI communication capabilities of a particular application process (see ISO/IEC 9545 for further details).

ATN security services. A set of information security provisions allowing the receiving end system or intermediate system to unambiguously identify (i.e. authenticate) the source of the received information and to verify the integrity of that information.

ATS interfacility data communication (AIDC). Automated data exchange between air traffic services units in support of flight notification, flight coordination, transfer of control and transfer of communication.

ATS message handling service (ATSMHS). An ATN application consisting of procedures used to exchange ATS messages in store-and-forward mode over the ATN such that the conveyance of an ATS message is in general not correlated with the conveyance of another ATS message by the service provider.

ATS message handling system (AMHS). The set of computing and communication resources implemented by ATS organizations to provide the ATS message handling service.

Authorized path. A communication path suitable for a given message category.

Data link initiation capability (DLIC). A data link application that provides the ability to exchange addresses, names and version numbers necessary to initiate data link applications (see Doc 4444).

Directory service (DIR). A service, based on the ITU-T X.500 series of recommendations, providing access to and management of structured information relevant to the operation of the ATN and its users.

Required communication performance (RCP). A statement of the performance requirements for operational communication in support of specific ATM functions (see *Manual on Required Communication Performance (RCP)* (Doc 9869)).

3.2 INTRODUCTION

3.2.1 The ATN is specifically and exclusively intended to provide digital data communications services to air traffic service provider organizations and aircraft operating agencies in support of:

- a) air traffic services communications (ATSC) with aircraft;
- b) air traffic services communications between ATS units;
- c) aeronautical operational control communications (AOC); and
- d) aeronautical administrative communications (AAC).

3.3 GENERAL

Note — The Standards and Recommended Practices in sections 3.4 to 3.8 define the minimum required protocols and services that will enable the global implementation of the aeronautical telecommunication network (ATN).

3.3.1 ATN communication services shall support ATN applications.

3.3.2 Requirements for implementation of the ATN shall be made on the basis of regional air navigation agreements. These agreements shall specify the area in which the communication standards for the ATN/OSI or the ATN/IPS are applicable.

3.4 GENERAL REQUIREMENTS

3.4.1 The ATN shall either use International Organization for Standardization (ISO) communication standards for open systems interconnection (OSI) or use the Internet Society (ISOC) communications standards for the Internet Protocol Suite (IPS).

Note 1.— Interoperability between interconnecting OSI/IPS networks is expected to be arranged prior to implementation.

Note 2.— Guidance material on interoperability between ATN/OSI and ATN/IPS is contained in Doc 9896.

3.4.2 The AFTN/AMHS gateway shall ensure the interoperability of AFTN and CIDIN stations and networks with the ATN.

3.4.3 An authorized path(s) shall be defined on the basis of a predefined routing policy.

3.4.4 The ATN shall transmit, relay and deliver messages in accordance with the priority classifications and without discrimination or undue delay.

3.4.5 The ATN shall provide means to define data communications that can be carried only over authorized paths for the traffic type and category specified by the user.

3.4.6 The ATN shall provide communication in accordance with the prescribed required communication performance (RCP).

Note.— The Manual on Required Communication Performance (RCP) (Doc 9869) contains the necessary information on RCP.

3.4.7 The ATN shall operate in accordance with the communication priorities defined in Table 3-1* and Table 3-2.

3.4.8 The ATN shall enable exchange of application information when one or more authorized paths exist.

3.4.9 The ATN shall notify the appropriate application processes when no authorized path exists.

3.4.10 The ATN shall make provisions for the efficient use of limited bandwidth subnetworks.

3.4.11 **Recommendation.**— *The ATN should enable an aircraft intermediate system (router) to connect to a ground intermediate system (router) via different subnetworks.*

3.4.12 **Recommendation.**— *The ATN should enable an aircraft intermediate system (router) to connect to different ground intermediate systems (routers).*

3.4.13 The ATN shall enable the exchange of address information between applications.

3.4.14 Where the absolute time of day is used within the ATN, it shall be accurate to within 1 second of coordinated universal time (UTC).

Note.— *The time accuracy value results in synchronization errors of up to two seconds.*

3.5 ATN APPLICATIONS REQUIREMENTS

3.5.1 System applications

Note.— *System applications provide services that are necessary for operation of the ATN.*

3.5.1.1 The ATN shall support the data link initiation capability (DLIC) applications when air-ground data links are implemented.

Note.— *The Manual of Air Traffic Services Data Link Applications (Doc 9694, Part I) defines the data link initiation capability (DLIC) application.*

3.5.1.2 The ATN/OSI end-system shall support the following directory services (DIR) application functions when AMHS and/or security protocols are implemented:

- a) directory information retrieval; and
- b) directory information modification.

3.5.2 Air-ground applications

3.5.2.1 The ATN shall be capable of supporting one or more of the following applications:

- a) ADS-C;

* Tables 3-1 and 3-2 are located at the end of this chapter.

- b) CPDLC; and
- c) FIS (including ATIS and METAR).

Note.— See the Manual of Air Traffic Services Data Link Applications (Doc 9694).

3.5.3 Ground-ground applications

3.5.3.1 The ATN shall be capable of supporting the following AIDC applications:

- a) ATS interfacility data communication (AIDC); and
- b) ATS message handling services applications (ATSMHS).

Note.— See the Manual of Air Traffic Services Data Link Applications (Doc 9694).

3.6 ATN COMMUNICATIONS SERVICE REQUIREMENTS

3.6.1 ATN/IPS upper layer communications service

3.6.1.1 An ATN host* shall be capable of supporting the ATN/IPS upper layers including an application layer.

3.6.2 ATN/OSI upper layer communications service

3.6.2.1 An ATN/OSI end-system (ES)* shall be capable of supporting the OSI upper layer communications service (ULCS) including session, presentation and application layers.

3.6.3 ATN/IPS communications service

3.6.3.1 An ATN host shall be capable of supporting the ATN/IPS including the:

- a) transport layer in accordance with RFC 793 (TCP) and RFC 768 (UDP); and
- b) network layer in accordance with RFC 2460 (IPv6).

3.6.3.2 An IPS router shall support the ATN network layer in accordance with RFC 2460 (IPv6) and RFC 4271 (BGP), and RFC 2858 (BGP multiprotocol extensions).

3.6.4 ATN/OSI communications service

3.6.4.1 An ATN/OSI end-system shall be capable of supporting the ATN including the:

- a) transport layer in accordance with ISO/IEC 8073 (TP4) and optionally ISO/IEC 8602 (CLTP); and
- b) network layer in accordance with ISO/IEC 8473 (CLNP).

* An ATN host is an ATN end-system in OSI terminology; an ATN end-system is an ATN host in IPS terminology.

3.6.4.2 An ATN intermediate system (IS) shall support the ATN network layer in accordance with ISO/IEC 8473 (CLNP) and ISO/IEC 10747 (IDRP).

3.7 ATN NAMING AND ADDRESSING REQUIREMENTS

Note.— The ATN naming and addressing scheme supports the principles of unambiguous identification of intermediate systems (routers) and end-systems (hosts) and provides global address standardization.

3.7.1 The ATN shall provide provisions for unambiguous application identification.

3.7.2 The ATN shall provide provisions for unambiguous addressing.

3.7.3 The ATN shall provide means to unambiguously address all ATN end-systems (hosts) and intermediate systems (routers).

3.7.4 The ATN addressing and naming plans shall allow States and organizations to assign addresses and names within their own administrative domains.

3.8 ATN SECURITY REQUIREMENTS

3.8.1 The ATN shall make provisions whereby only the controlling ATS unit may provide ATC instructions to aircraft operating in its airspace.

Note.— This is achieved through the current and next data authority aspects of the controller-pilot data link communications (CPDLC) application.

3.8.2 The ATN shall enable the recipient of a message to identify the originator of that message.

3.8.3 ATN end-systems supporting ATN security services shall be capable of authenticating the identity of peer end-systems, authenticating the source of messages and ensuring the data integrity of the messages.

Note.— The use of security is the default; however, its implementation is based on local policy.

3.8.4 The ATN services shall be protected against service attacks to a level consistent with the application service requirements.

TABLES FOR CHAPTER 3

Table 3-1. Mapping of ATN communication priorities

Message categories	ATN application	Corresponding protocol priority	
		Transport layer priority	Network layer priority
Network/systems management		0	14
Distress communications		1	13
Urgent communications		2	12
High-priority flight safety messages	CPDLC, ADS-C	3	11
Normal-priority flight safety messages	AIDC, ATIS	4	10
Meteorological communications	METAR	5	9
Flight regularity communications	DLIC, ATSMHS	6	8
Aeronautical information service messages		7	7
Network/systems administration	DIR	8	6
Aeronautical administrative messages		9	5
<unassigned>		10	4
Urgent-priority administrative and U.N. Charter communications		11	3
High-priority administrative and State/Government communications		12	2
Normal-priority administrative communications		13	1
Low-priority administrative communications and aeronautical passenger communications		14	0
<i>Note.— The network layer priorities shown in the table apply only to connectionless network priority and do not apply to subnetwork priority.</i>			

Table 3-2. Mapping of ATN network priority to mobile subnetwork priority

Message categories	ATN network layer priority	Corresponding mobile subnetwork priority (see Note 4)					
		AMSS	VDL Mode 2	VDL Mode 3	VDL Mode 4	SSR Mode S	HFDL
Network/systems management	14	14	see Note 1	3	14	high	14
Distress communications	13	14	see Note 1	2	13	high	14
Urgent communications	12	14	see Note 1	2	12	high	14
High-priority flight safety messages	11	11	see Note 1	2	11	high	11
Normal-priority flight safety messages	10	11	see Note 1	2	10	high	11
Meteorological communications	9	8	see Note 1	1	9	low	8
Flight regularity communications	8	7	see Note 1	1	8	low	7
Aeronautical information service messages	7	6	see Note 1	0	7	low	6
Network/systems administration	6	5	see Note 1	0	6	low	5
Aeronautical administrative messages	5	5	not allowed	not allowed	not allowed	not allowed	not allowed
<unassigned>	4	unassigned	unassigned	unassigned	unassigned	unassigned	unassigned
Urgent-priority administrative and U.N. Charter communications	3	3	not allowed	not allowed	not allowed	not allowed	not allowed
High-priority administrative and State/Government communications	2	2	not allowed	not allowed	not allowed	not allowed	not allowed
Normal-priority administrative communications	1	1	not allowed	not allowed	not allowed	not allowed	not allowed
Low-priority administrative communications and aeronautical passenger communications	0	0	not allowed	not allowed	not allowed	not allowed	not allowed

Note 1.— VDL Mode 2 has no specific subnetwork priority mechanisms.

Note 2.— The AMSS SARPs specify mapping of message categories to subnetwork priority without explicitly referencing ATN network layer priority.

Note 3.— The term “not allowed” means that only communications related to safety and regularity of flight are authorized to pass over this subnetwork as defined in the subnetwork SARPs.

Note 4.— Only those mobile subnetworks are listed for which subnetwork SARPs exist and for which explicit support is provided by the ATN boundary intermediate system (BIS) technical provisions.

FIGURE FOR CHAPTER 3

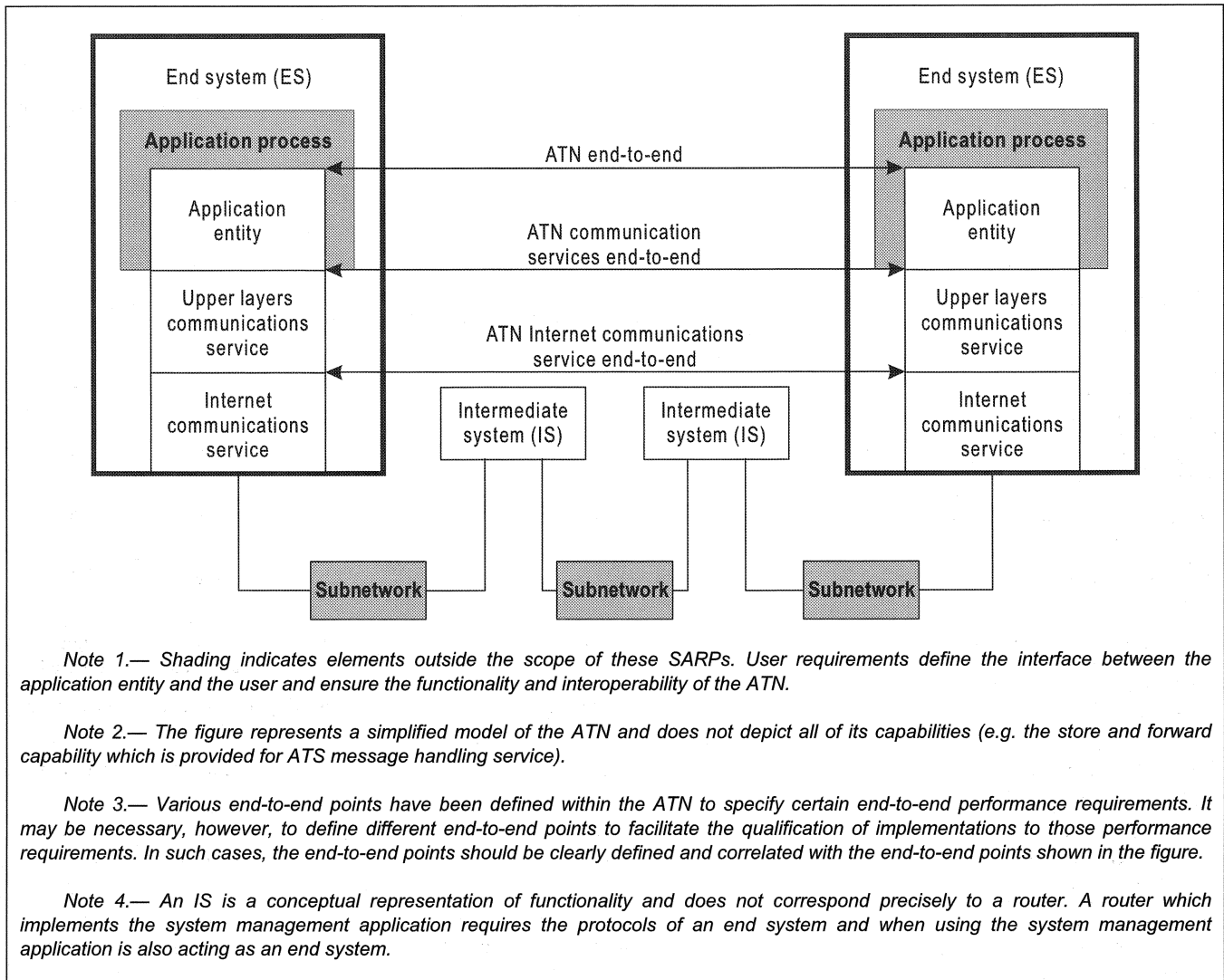


Figure 3-1. Conceptual model of the ATN

CHAPTER 2. AERONAUTICAL MOBILE SERVICE

2.1 AIR-GROUND VHF COMMUNICATION SYSTEM CHARACTERISTICS

Note.— In the following text the channel spacing for 8.33 kHz channel assignments is defined as 25 kHz divided by 3 which is 8.3333 ... kHz.

2.1.1 The characteristics of the air-ground VHF communication system used in the International Aeronautical Mobile Service shall be in conformity with the following specifications:

2.1.1.1 Radiotelephone emissions shall be double sideband (DSB) amplitude modulated (AM) carriers. The designation of emission is A3E, as specified in the ITU Radio Regulations.

2.1.1.2 Spurious emissions shall be kept at the lowest value which the state of technique and the nature of the service permit.

Note.— Appendix S3 to the ITU Radio Regulations specifies the levels of spurious emissions to which transmitters must conform.

2.1.1.3 The radio frequencies used shall be selected from the radio frequencies in the band 117.975 – 137 MHz. The separation between assignable frequencies (channel spacing) and frequency tolerances applicable to elements of the system shall be as specified in Volume V.

Note.— The band 117.975 – 132 MHz was allocated to the Aeronautical Mobile (R) Service in the ITU Radio Regulations (1947). By subsequent revisions at ITU World Administrative Radio Conferences the bands 132 – 136 MHz and 136 – 137 MHz were added under conditions which differ for ITU Regions, or for specified countries or combinations of countries (see RRs S5.203, S5.203A and S5.203B for additional allocations in the band 136 – 137 MHz, and S5.201 for the band 132 – 136 MHz).

2.1.1.4 The design polarization of emissions shall be vertical.

2.2 SYSTEM CHARACTERISTICS OF THE GROUND INSTALLATION

2.2.1 Transmitting function

2.2.1.1 *Frequency stability.* The radio frequency of operation shall not vary more than plus or minus 0.005 per cent from the assigned frequency. Where 25 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.002 per cent from the assigned frequency. Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0001 per cent from the assigned frequency.

Note.— The above frequency stability requirements will not be sufficient for offset carrier systems using 25 kHz channel spacing or higher.

2.2.1.1.1 Offset carrier systems in 8.33 kHz, 25 kHz, 50 kHz and 100 kHz channel spaced environments. The stability of individual carriers of an offset carrier system shall be such as to prevent first-order heterodyne frequencies of less than 4 kHz and, additionally, the maximum frequency excursion of the outer carrier frequencies from the assigned carrier frequency shall not exceed 8 kHz. Offset carrier systems for 8.33 kHz channel spacing shall be limited to two-carrier systems using a carrier offset of plus and minus 2.5 kHz.

Note.— Examples of the required stability of the individual carriers of offset carrier systems may be found at the Attachment to Part II.

2.2.1.2 POWER

Recommendation.— *On a high percentage of occasions, the effective radiated power should be such as to provide a field strength of a least 75 microvolts per metre (minus 109 dBW/m²) within the defined operational coverage of the facility, on the basis of free-space propagation.*

2.2.1.3 *Modulation.* A peak modulation factor of at least 0.85 shall be achievable.

2.2.1.4 **Recommendation.—** *Means should be provided to maintain the average modulation factor at the highest practicable value without overmodulation.*

2.2.2 Receiving function

2.2.2.1 *Frequency stability.* Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0001 per cent from the assigned frequency.

2.2.2.2 *Sensitivity.* After due allowance has been made for feeder loss and antenna polar diagram variation, the sensitivity of the receiving function shall be such as to provide on a high percentage of occasions an audio output signal with a wanted/unwanted ratio of 15 dB, with a 50 per cent amplitude modulated (A3E) radio signal having a field strength of 20 microvolts per metre (minus 120 dBW/m²) or more.

2.2.2.3 *Effective acceptance bandwidth.* When tuned to a channel having a width of 25 kHz, 50 kHz or 100 kHz, the receiving system shall provide an adequate and intelligible audio output when the signal specified at 2.2.2.2 has a carrier frequency within plus or minus 0.005 per cent of the assigned frequency. When tuned to a channel having a width of 8.33 kHz, the receiving system shall provide an adequate and intelligible audio output when the signal specified at 2.2.2.2 has a carrier frequency within plus or minus 0.0005 per cent of the assigned frequency. Further information on the effective acceptance bandwidth is contained in the Attachment to Part II.

Note.— The effective acceptance bandwidth includes Doppler shift.

2.2.2.4 *Adjacent channel rejection.* The receiving system shall ensure an effective rejection of 60 dB or more at the next assignable channel.

Note.— The next assignable frequency will normally be plus or minus 50 kHz. Where this channel spacing will not suffice, the next assignable frequency will be plus or minus 25 kHz, or plus or minus 8.33 kHz, implemented in accordance with the provisions of Volume V. It is recognized that in certain areas of the world receivers designed for 25 kHz, 50 kHz or 100 kHz channel spacing may continue to be used.

2.3 SYSTEM CHARACTERISTICS OF THE AIRBORNE INSTALLATION

2.3.1 Transmitting function

2.3.1.1 *Frequency stability.* The radio frequency of operation shall not vary more than plus or minus 0.005 per cent from the assigned frequency. Where 25 kHz channel spacing is introduced, the radio frequency of operation shall not vary more than plus or minus 0.003 per cent from the assigned frequency. Where 8.33 kHz channel spacing is introduced, the radio frequency of operation shall not vary more than plus or minus 0.0005 per cent from the assigned frequency.

2.3.1.2 *Power.* On a high percentage of occasions, the effective radiated power shall be such as to provide a field strength of at least 20 microvolts per metre (minus 120 dBW/m²) on the basis of free space propagation, at ranges and altitudes appropriate to the operational conditions pertaining to the areas over which the aircraft is operated.

2.3.1.3 *Adjacent channel power.* The amount of power from a 8.33 kHz airborne transmitter under all operating conditions when measured over a 7 kHz channel bandwidth centred on the first 8.33 kHz adjacent channel shall not exceed -45 dB below the transmitter carrier power. The above adjacent channel power shall take into account the typical voice spectrum.

Note.— The voice spectrum is assumed to be a constant level between 300 and 800 Hz and attenuated by 10 dB per octave above 800 Hz.

2.3.1.4 *Modulation.* A peak modulation factor of at least 0.85 shall be achievable.

2.3.1.5 **Recommendation.**— *Means should be provided to maintain the average modulation factor at the highest practicable value without overmodulation.*

2.3.2 Receiving function

2.3.2.1 *Frequency stability.* Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0005 per cent from the assigned frequency.

2.3.2.2 SENSITIVITY

2.3.2.2.1 **Recommendation.**— *After due allowance has been made for aircraft feeder mismatch, attenuation loss and antenna polar diagram variation, the sensitivity of the receiving function should be such as to provide on a high percentage of occasions an audio output signal with a wanted/unwanted ratio of 15 dB, with a 50 per cent amplitude modulated (A3E) radio signal having a field strength of 75 microvolts per metre (minus 109 dBW/m²).*

Note.— For planning extended range VHF facilities, an airborne receiving function sensitivity of 30 microvolts per metre may be assumed.

2.3.2.3 *Effective acceptance bandwidth for 100 kHz, 50 kHz and 25 kHz channel spacing receiving installations.* When tuned to a channel designated in Volume V as having a width of 25 kHz, 50 kHz or 100 kHz, the receiving function shall ensure an effective acceptance bandwidth as follows:

- a) in areas where offset carrier systems are employed, the receiving function shall provide an adequate audio output when the signal specified at 2.3.2.2 has a carrier frequency within 8 kHz of the assigned frequency;
- b) in areas where offset carrier systems are not employed, the receiving function shall provide an adequate audio output when the signal specified at 2.3.2.2 has a carrier frequency of plus or minus 0.005 per cent of the assigned frequency.

2.3.2.4 *Effective acceptance bandwidth for 8.33 kHz channel spacing receiving installations.* When tuned to a channel designated in Volume V, as having a width of 8.33 kHz, the receiving function shall ensure an effective acceptance bandwidth as follows:

- a) in areas where offset carrier systems are employed, the receiving function shall provide an adequate audio output when the signal specified in 2.3.2.2 has a carrier frequency of plus or minus 2.5 kHz of the assigned frequency; and
- b) in areas where offset carrier systems are not employed, the receiving function shall provide an adequate audio output when the signal specified in 2.3.2.2 has a carrier frequency within plus or minus 0.0005 per cent of the assigned frequency. Further information on the effective acceptance bandwidth is contained in Part II, Attachment A.

Note 1.— The effective acceptance bandwidth includes Doppler shift.

Note 2.— When using offset carrier systems (ref. 2.3.2.3 and 2.3.2.4), receiver performance may become degraded when receiving two or more similar strength offset carrier signals. Caution is therefore advised with the implementation of offset carrier systems.

2.3.2.5 *Adjacent channel rejection.* The receiving function shall ensure an effective adjacent channel rejection as follows:

- a) *8.33 kHz channels:* 60 dB or more at plus or minus 8.33 kHz with respect to the assigned frequency, and 40 dB or more at plus or minus 6.5 kHz;

Note.— The receiver local oscillator phase noise should be sufficiently low to avoid any degradation of the receiver capability to reject off carrier signals. A phase noise level better than minus 99 dBc/Hz 8.33 kHz away from the carrier is necessary to comply with 45 dB adjacent channel rejection under all operating conditions.

- b) *25 kHz channel spacing environment:* 50 dB or more at plus or minus 25 kHz with respect to the assigned frequency and 40 dB or more at plus or minus 17 kHz;
- c) *50 kHz channel spacing environment:* 50 dB or more at plus or minus 50 kHz with respect to the assigned frequency and 40 dB or more at plus or minus 35 kHz;
- d) *100 kHz channel spacing environment:* 50 dB or more at plus or minus 100 kHz with respect to the assigned frequency.

2.3.2.6 **Recommendation.**— *Whenever practicable, the receiving system should ensure an effective adjacent channel rejection characteristic of 60 dB or more at plus or minus 25 kHz, 50 kHz and 100 kHz from the assigned frequency for receiving systems intended to operate in channel spacing environments of 25 kHz, 50 kHz and 100 kHz, respectively.*

Note.— Frequency planning is normally based on an assumption of 60 dB effective adjacent channel rejection at plus or minus 25 kHz, 50 kHz or 100 kHz from the assigned frequency as appropriate to the channel spacing environment.

2.3.2.7 **Recommendation.**— *In the case of receivers complying with 2.3.2.3 or 2.3.2.4 used in areas where offset carrier systems are in force, the characteristics of the receiver should be such that:*

- a) *the audio frequency response precludes harmful levels of audio heterodynes resulting from the reception of two or more offset carrier frequencies;*
- b) *the receiver muting circuits, if provided, operate satisfactorily in the presence of audio heterodynes resulting from the reception of two or more offset carrier frequencies.*

2.3.2.8 VDL — INTERFERENCE IMMUNITY PERFORMANCE

2.3.2.8.1 For equipment intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft, the receiving function shall provide an adequate and intelligible audio output with a desired signal field strength of not more than 150 microvolts per metre (minus 102 dBW/m²) and with an undesired VDL signal field strength of at least 50 dB above the desired field strength on any assignable channel 100 kHz or more away from the assigned channel of the desired signal.

Note.— This level of VDL interference immunity performance provides a receiver performance consistent with the influence of the VDL RF spectrum mask as specified in Volume III, Part I, 6.3.4 with an effective transmitter/receiver isolation of 68 dB. Better transmitter and receiver performance could result in less isolation required.

2.3.2.8.2 After 1 January 2002, the receiving function of all new installations intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft shall meet the provisions of 2.3.2.8.1.

2.3.2.8.3 After 1 January 2005, the receiving function of all installations intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft shall meet the provisions of 2.3.2.8.1, subject to the conditions of 2.3.2.8.4.

2.3.2.8.4 Requirements for mandatory compliance of the provisions of 2.3.2.8.3 shall be made on the basis of regional air navigation agreements which specify the airspace of operation and the implementation timescales.

2.3.2.8.4.1 The agreement indicated in 2.3.2.8.4 shall provide at least two years' notice of mandatory compliance of airborne systems.

2.3.3 Interference immunity performance

2.3.3.1 After 1 January 1998, the VHF communications receiving system shall provide satisfactory performance in the presence of two signal, third-order intermodulation products caused by VHF FM broadcast signals having levels at the receiver input of minus 5 dBm.

2.3.3.2 After 1 January 1998, the VHF communications receiving system shall not be desensitized in the presence of VHF FM broadcast signals having levels at the receiver input of minus 5 dBm.

Note.— Guidance material on immunity criteria to be used for the performance quoted in 2.3.3.1 and 2.3.3.2 is contained in the Attachment to Part II, 1.3.

2.3.3.3 After 1 January 1995, all new installations of airborne VHF communications receiving systems shall meet the provisions of 2.3.3.1 and 2.3.3.2.

2.3.3.4 **Recommendation.**— *Airborne VHF communications receiving systems meeting the immunity performance Standards of 2.3.3.1 and 2.3.3.2 should be placed into operation at the earliest possible date.*

2.4 SINGLE SIDEBAND (SSB) HF COMMUNICATION SYSTEM CHARACTERISTICS FOR USE IN THE AERONAUTICAL MOBILE SERVICE

2.4.1 The characteristics of the air-ground HF SSB system, where used in the Aeronautical Mobile Service, shall be in conformity with the following specifications.

2.4.1.1 FREQUENCY RANGE

2.4.1.1.1 HF SSB installations shall be capable of operation at any SSB carrier (reference) frequency available to the Aeronautical Mobile (R) Service in the band 2.8 MHz to 22 MHz and necessary to meet the approved assignment plan for the region(s) in which the system is intended to operate, and in compliance with the relevant provisions of the Radio Regulations.

Note 1.— See Introduction to Volume V, Chapter 3, and Figures 2-1 and 2-2.*

Note 2.— The ITU World Administrative Radio Conference, Aeronautical Mobile (R) Service, Geneva, 1978, established a new Allotment Plan (Appendix 27, Aer to the Radio Regulations) based on single sideband replacing the earlier double sideband Allotment Plan. The World Radiocommunication Conference 1995 redesignated it as Appendix S.27. Minor editorial changes were made at the World Radiocommunication Conference 1997.

2.4.1.1.2 The equipment shall be capable of operating on integral multiples of 1 kHz.

2.4.1.2 SIDEBAND SELECTION

2.4.1.2.1 The sideband transmitted shall be that on the higher frequency side of its carrier (reference) frequency.

2.4.1.3 CARRIER (REFERENCE) FREQUENCY

2.4.1.3.1 Channel utilization shall be in conformity with the table of carrier (reference) frequencies at 27/16 and the Allotment Plan at 27/186 to 27/207 inclusive (or frequencies established on the basis of 27/21, as may be appropriate) of Appendix S27.

Note.— It is intended that only the carrier (reference) frequency be promulgated in Regional Plans and Aeronautical Publications.

2.4.1.4 CLASSES OF EMISSION AND CARRIER SUPPRESSION

2.4.1.4.1 The system shall utilize the suppressed carrier class of emission J3E (also J7B and J9B as applicable). When SELCAL is employed as specified in Chapter 3 of Part II, the installation shall utilize class H2B emission.

2.4.1.4.2 By 1 February 1982 aeronautical stations and aircraft stations shall have introduced the appropriate class(es) of emission prescribed in 2.4.1.4.1. Effective this date the use of class A3E emission shall be discontinued except as provided in 2.4.1.4.4.

2.4.1.4.3 Until 1 February 1982 aeronautical stations and aircraft stations equipped for single sideband operations shall also be equipped to transmit class H3E emission where required to be compatible with reception by double sideband equipment. Effective this date the use of class H3E emission shall be discontinued except as provided in 2.4.1.4.4.

2.4.1.4.4 **Recommendation.**— *For stations directly involved in coordinated search and rescue operations using the frequencies 3 023 kHz and 5 680 kHz, the class of emission J3E should be used; however, since maritime mobile and land mobile services may be involved, A3E and H3E classes of emission may be used.*

2.4.1.4.5 After 1 April 1981 no new DSB equipment shall be installed.

2.4.1.4.6 Aircraft station transmitters shall be capable of at least 26 dB carrier suppression with respect to peak envelope power (P_p) for classes of emission J3E, J7B or J9B.

* All figures are located at the end of this chapter.

2.4.1.4.7 Aeronautical station transmitters shall be capable of 40 dB carrier suppression with respect to peak envelope power (P_p) for classes of emission J3E, J7B or J9B.

2.4.1.5 AUDIO FREQUENCY BANDWIDTH

2.4.1.5.1 For radiotelephone emissions the audio frequencies shall be limited to between 300 and 2 700 Hz and the occupied bandwidth of other authorized emissions shall not exceed the upper limit of J3E emissions. In specifying these limits, however, no restriction in their extension shall be implied in so far as emissions other than J3E are concerned, provided that the limits of unwanted emissions are met (see 2.4.1.7).

Note.— For aircraft and aeronautical station transmitter types first installed before 1 February 1983 the audio frequencies will be limited to 3 000 Hz.

2.4.1.5.2 For other authorized classes of emission the modulation frequencies shall be such that the required spectrum limits of 2.4.1.7 will be met.

2.4.1.6 FREQUENCY TOLERANCE

2.4.1.6.1 The basic frequency stability of the transmitting function for classes of emission J3E, J7B or J9B shall be such that the difference between the actual carrier of the transmission and the carrier (reference) frequency shall not exceed:

- 20 Hz for airborne installations;
- 10 Hz for ground installations.

2.4.1.6.2 The basic frequency stability of the receiving function shall be such that, with the transmitting function stabilities specified in 2.4.1.6.1, the overall frequency difference between ground and airborne functions achieved in service and including Doppler shift, does not exceed 45 Hz. However, a greater frequency difference shall be permitted in the case of supersonic aircraft.

2.4.1.7 SPECTRUM LIMITS

2.4.1.7.1 For aircraft station transmitter types and for aeronautical station transmitters first installed before 1 February 1983 and using single sideband classes of emission H2B, H3E, J3E, J7B or J9B the mean power of any emission on any discrete frequency shall be less than the mean power (P_m) of the transmitter in accordance with the following:

- on any frequency removed by 2 kHz or more up to 6 kHz from the assigned frequency: at least 25 dB;
- on any frequency removed by 6 kHz or more up to 10 kHz from the assigned frequency: at least 35 dB;
- on any frequency removed from the assigned frequency by 10 kHz or more:
 - a) aircraft station transmitters: 40 dB;
 - b) aeronautical station transmitters:

$$[43 + 10 \log_{10} P_m (W)] \text{ dB}$$

2.4.1.7.2 For aircraft station transmitters first installed after 1 February 1983 and for aeronautical station transmitters in use as of 1 February 1983 and using single sideband classes of emission H2B, H3E, J3E, J7B or J9B, the peak envelope power (P_p) of any emission on any discrete frequency shall be less than the peak envelope power (P_p) of the transmitter in accordance with the following:

- on any frequency removed by 1.5 kHz or more up to 4.5 kHz from the assigned frequency: at least 30 dB;
- on any frequency removed by 4.5 kHz or more up to 7.5 kHz from the assigned frequency: at least 38 dB;
- on any frequency removed from the assigned frequency by 7.5 kHz or more:

a) aircraft station transmitters: 43 dB;

b) aeronautical station transmitters: for transmitter power up to and including 50 W:

$$[43 + 10 \log_{10} P_p (W)] \text{ dB}$$

For transmitter power more than 50 W: 60 dB.

Note.— See Figures 2-1 and 2-2.

2.4.1.8 POWER

2.4.1.8.1 *Aeronautical station installations.* Except as permitted by the relevant provisions of Appendix S27 to the ITU Radio Regulations, the peak envelope power (P_p) supplied to the antenna transmission line for H2B, H3E, J3E, J7B or J9B classes of emissions shall not exceed a maximum value of 6 kW.

2.4.1.8.2 *Aircraft station installations.* The peak envelope power supplied to the antenna transmission line for H2B, H3E, J3E, J7B or J9B classes of emission shall not exceed 400 W except as provided for in Appendix S27 of the ITU Radio Regulations as follows:

S27/68 It is recognized that the power employed by aircraft transmitters may, in practice, exceed the limits specified in No. 27/60. However, the use of such increased power (which normally should not exceed 600 W P_p) shall not cause harmful interference to stations using frequencies in accordance with the technical principles on which the Allotment Plan is based.

S27/60 Unless otherwise specified in Part II of this Appendix, the peak envelope powers supplied to the antenna transmission line shall not exceed the maximum values indicated in the table below; the corresponding peak effective radiated powers being assumed to be equal to two-thirds of these values:

<i>Class of emission</i>	<i>Stations</i>	<i>Max. peak envelope power (P_p)</i>
H2B, J3E, J7B, J9B, A3E*, H3E* (100% modulation)	Aeronautical stations	6 kW
	Aircraft stations	400 W
Other emission such as A1A, F1B	Aeronautical stations	1.5 kW
	Aircraft stations	100 W

* A3E and H3E to be used only on 3 023 kHz and 5 680 kHz.

2.4.1.9 *Method of operation.* Single channel simplex shall be employed.

ATTACHMENT TO PART II. GUIDANCE MATERIAL FOR COMMUNICATION SYSTEMS

1. VHF COMMUNICATIONS

1.1 Audio characteristics of VHF communication equipment

1.1.1 The aeronautical radiotelephony services represent a special case of the application of radiotelephony, in that the requirement is for the transmission of messages in such a way that fidelity of wave form is of secondary importance, emphasis being upon fidelity of basic intelligence. This means that it is not necessary to transmit those parts of the wave form which are solely concerned with individuality, accent and emphasis.

1.1.2 The effective acceptance bandwidth for 8.33 kHz equipment is required to be at least plus and minus 3 462 Hz. This value considers the general case, i.e. air-to-ground transmissions and consists of 2 500 Hz audio bandwidth, 685 Hz for an aircraft transmitter instability of 5 ppm, 137 Hz for a ground receiver instability of 1 ppm and 140 Hz due to Doppler shift (2.2.2.4 and 2.3.2.6 of Part II refer).

1.2 Offset carrier system in 25 kHz, 50 kHz and 100 kHz spaced channels

The following are examples of offset carrier systems which meet the requirements of Part II, 2.2.1.1.1.

- a) *2-carrier system.* Carriers should be spaced at plus and minus 5 kHz. This requires a frequency stability of plus or minus 2 kHz (15.3 parts per million at 130 MHz).
- b) *3-carrier system.* Carriers should be spaced at zero and plus and minus 7.3 kHz. This requires a frequency stability of plus or minus 0.65 kHz (5 parts per million at 130 MHz).

The following are examples of 4- and 5-carrier systems which meet the requirements of Part II, 2.2.1.1.1.

- c) *4-carrier system.* Carriers should be spaced at plus and minus 2.5 kHz and plus and minus 7.5 kHz. This requires a frequency stability of plus or minus 0.5 kHz (3.8 parts per million at 130 MHz).
- d) *5-carrier system.* Carriers should be spaced at zero, plus and minus 4 kHz and plus and minus 8 kHz. A frequency stability in the order of plus or minus 40 Hz (0.3 parts per million at 130 MHz) is an achievable and practicable interpretation of the requirement in this case.

Note 1.— The carrier frequency spacings referred to above are with respect to the assigned channel frequency.

Note 2.— In aircraft receivers which employ a measurement of the received carrier-to-noise ratio to operate the mute, the audio heterodynes caused by the reception of two or more off-set carriers can be interpreted as noise and cause the audio output to be muted even when an adequate wanted signal is present. In order that the airborne receiving system can conform with the sensitivity recommendations contained in Part II, 2.3.2.2, the design of the receivers may need to ensure that their sensitivity is maintained at a high level when receiving off-set carrier transmissions. The use of a carrier level override is an unsatisfactory solution to this requirement, but where it is employed, setting the override level as low as possible can ameliorate the problem.

1.3 Immunity performance of COM receiving systems in the presence of VHF FM broadcast interference

1.3.1 With reference to the Note of 2.3.3.2 of Part II, the immunity performance defined there must be measured against an agreed measure of derogation of the receiving system's normal performance, and in the presence of, and under standard conditions for the input wanted signal. This is necessary to ensure that the checking of receiving station equipment on bench test can be performed to a repeatable set of conditions, and results, and to facilitate their subsequent approval. An adequate measure of immunity performance may be obtained by the use of wanted signal of minus 87 dBm into the receiving equipment and the signal modulated with a 1 kHz tone at 30 per cent modulation depth. The signal-to-noise ratio should not fall below 6 dB when the interfering signals specified at Part II, 2.3.3.1 and 2.3.3.2 are applied. The broadcast signals should be selected from frequencies in the range between 87.5 and 107.9 MHz and should be modulated with a representative broadcast type signal.

Note 1.— The signal level of minus 87 dBm assumes a combined antenna and feeder gain of 0 dB.

Note 2.— The reduction in the signal-to-noise ratio quoted above is for the purpose of standardization when checking that receiving station equipment on bench measurements meet the required immunity. In the planning of frequencies and in the assessment of protection from FM broadcast interference, a value not less than this, and in many cases higher, depending on the operational circumstances in individual cases, should be chosen as the basis of the interference assessment.

2. SELCAL SYSTEM

2.1 This material is intended to provide information and guidance relating to the operation of the SELCAL system. It is associated with the Recommended Practices contained in Part II, Chapter 3.

- a) *Function.* The purpose of the SELCAL system is to permit the selective calling of individual aircraft over radiotelephone channels linking the ground station with the aircraft, and is intended to operate on en-route frequencies with existing HF and VHF ground-to-air communications transmitters and receivers with a minimum of electrical and mechanical modification. The normal functioning of the ground-to-air communications link should be unaffected, except at such time as the selective calling function is being formed.
- b) *Principles of operation.* Selective calling is accomplished by the coder of the ground transmitter sending a single group of coded tone pulses to the aircraft receiver and decoder. The airborne receiver and decoder equipment is capable of receiving and interpreting, by means of an indicator, the correct code and rejecting all other codes in the presence of random noise and interference. The ground portion of the coding device (ground selective calling unit) supplies coded information to the ground-to-air transmitter. The airborne selective calling unit is the special airborne equipment which operates with existing communications receivers on the aircraft to permit decoding of the ground-to-air signals for display on the signal indicator. The type of signal indicator can be chosen to suit operational requirements of the user and may consist of a lamp, a bell, a chime or any combination of such indicating devices.

— END —